# MENTAL HEALTH CLIENT-LEVEL DATA (MH-CLD), 2015:

## **CODEBOOK**

Center for Behavioral Health Statistics and Quality
Substance Abuse and Mental Health Services Administration

#### Mental Health Client-Level Data (MH-CLD), 2015: Codebook

#### Acknowledgements

This data file codebook was prepared for the Substance Abuse and Mental Health Services Administration (SAMHSA), U.S. Department of Health and Human Services (HHS), under Contract No. 75S20320C00001. Heydy Juarez served as the Contracting Officer's Representative (COR) at SAMHSA's Center for Behavioral Health Statistics and Quality (CBHSQ).

#### Terms of Use

These data are distributed under the following terms of use; by continuing past this page, you signify agreement to comply with the requirements below.

#### Privacy of Study Respondents

Any intentional identification of an individual or organization, or unauthorized disclosure of identifiable information, violates the promise of confidentiality given to the providers of the information. Disclosure of identifiable information may also be punishable under federal law. Therefore, users of data agree to:

Use these data sets solely for research or statistical purposes, and not for investigation or re-identification of specific individuals or organizations.

Make no use of the identity of any individual discovered inadvertently, and report any such discovery to SAMHSA (BHSIS Outreach@hendall.com).

#### **Public Domain Notice**

All material appearing in this document is in the public domain and may be reproduced or copied without permission from SAMHSA. Citation of the source is appreciated. This publication may not be reproduced or distributed for a fee without specific, written authorization of the Office of Communications, SAMHSA, U.S. Department of Health and Human Services.

#### Recommended Citation

Substance Abuse and Mental Health Services Administration, Center for Behavioral Health Statistics and Quality. *Mental Health Client-Level Data 2015*. Rockville, MD: SAMHSA, 2023.

Authors who use these data are encouraged to send citations of their publications to SAMHSA for inclusion in a database of related work (BHSIS Outreach@hendall.com).

#### **Disclaimers**

Data users acknowledge that SAMHSA bears no responsibility for use of the data or for interpretations or inferences based upon such uses. The Substance Abuse and Mental Health Services Administration (SAMHSA) complies with applicable Federal civil rights laws and does not discriminate on the basis of race, color, national origin, age, disability, religion, or sex (including pregnancy, sexual orientation, and gender identity). SAMHSA does not exclude people or treat them differently because of race, color, national origin, age, disability, religion, or sex (including pregnancy, sexual orientation, and gender identity). La Administración de Servicios de Salud Mental y Abuso de Sustancias (SAMHSA, por sus siglas en inglés) cumple con las leyes federales de derechos civiles aplicables y no discrimina por motivos de raza, color, nacionalidad, edad, discapacidad, o sexo (incluido el embarazo, la orientación sexual y la identidad de género). SAMHSA no excluye a las personas ni las trata de manera diferente debido a su raza, color, origen nacional, edad, discapacidad, religión o sexo (incluido el embarazo, la orientación sexual y la identidad de género).

#### **Violations**

If SAMHSA determines this terms of use agreement has been violated, possible sanctions include:

- report of the violation to the Research Integrity Officer, Institutional Review Board, or Human Subjects Review Committee of the user's institution;
- report of the violation to the Federal Office for Human Research Protections, if the confidentiality of human subjects has been violated;
- report of the violation of federal law to the United States Attorney General for possible prosecution; and
- court-awarded payments of damages to any individual(s) or organization(s) harmed by the breach of confidential data.

#### **Mental Health Client-Level Data: 2015**

This codebook documents the Mental Health Client-Level Data (MH-CLD) for the 2015 reporting period. MH-CLD provides demographic and mental health characteristics for clients who have used mental health services in facilities that report to individual state administrative data systems. National mental health client-level data reporting was launched in 2011.

The general framework for the MH-CLD involves a compilation of the demographic, clinical, and outcome data of individuals served by the state mental health agency (SMHA) within a state-defined 12-month reporting period. States may choose the calendar year or the state fiscal year as their reporting period. *Individuals served* is defined as all enrolled individuals who received mental health and support services, including screening, assessment, crisis services, and telemedicine/telehealth, from programs operated or funded by the SMHA during the reporting period.

Two data sets are submitted each reporting period: Basic Client Information (BCI) is due December 1, and the State Hospital Readmission (SHR) data set is due March 1 of the following year. These two data sets inform five mental health national outcome measures (NOMs): access to services/capacity, stability in housing/residential status, 30-day and 180-day readmission to state hospital, adult employment or children's school attendance and education level, and criminal justice involvement. There are five additional NOMs—social connectedness, decreased mental illness symptomatology, perception of care, cost effectiveness, and use of evidence-based practices—that have not been converted into client-level data reporting and continue to be captured in the Uniform Reporting System (URS). Data are reported in accordance with the Health Insurance Portability and Accountability Act (HIPAA) rules for non-protected health information (non-PHI).

In 2010, the Substance Abuse and Mental Health Services Administration (SAMHSA), through the Center for Behavioral Health Statistics and Quality (CBHSQ), began a parallel effort of testing the feasibility of building a national behavioral health database that integrates both mental health and substance use data using a uniform platform for data reporting. This effort led to the integration of mental health data into the well-established system of reporting for substance use client-level data, known as the Treatment Episode Data Set (TEDS). To enable reporting of mental health client data to TEDS, the system was modified to incorporate data elements that capture the characteristics unique to the mental health services system and the individuals receiving mental health services. These characteristics include, among others, the extended period of time that an individual may be engaged in treatment, the recovery process, and the simultaneous receipt of different types of services from different service providers. This method of reporting mental health data at the individual level is referred to as the Mental Health Treatment Episode Data Set (MH-TEDS).

Unlike MH-CLD, MH-TEDS is structured around treatment events, that is, admissions and discharges from specific service settings. Admission and discharge records can be linked to track treatment episodes and the treatment services received by individuals. Thus, with MH-TEDS, both the individual client and the treatment episode can serve as a unit of analysis, whereas with MH-CLD the client is the sole unit of analysis. The common TEDS platform in which both the substance use and mental health data are reported enhances the ability to report data on people with co-occurring mental health and substance use disorders. It also offers optional data fields for individuals with mental illness that are not captured in MH-CLD, such as referral source, details on criminal justice referral, income sources, and health insurance.

#### **Data Collection**

The data described in this codebook are derived from a reconciliation of the two reporting methods (MH-TEDS and MH-CLD), using individual clients as the unit of analysis. If you need copies of the Mental Health Client-Level Data State Instruction Manual and the Combined Substance Use and Mental Health Treatment Episode Data Set State Instruction Manual, please contact the BHSIS Office at BHSIS Outreach@hendall.com.

#### Reconciling data from MH-TEDS and MH-CLD

Both the MH-TEDS and MH-CLD include individuals served through SMHAs during the state-defined 12-month reporting period. Most states elect to use the state fiscal year, which usually runs from July 1 through June 30 of the following year; however, some states define their fiscal year differently. See the 2021 MH-CLD Annual Report for details.

MH-CLD contains one record for each individual served. Data items are populated by the states based on the status of the individual, either at the start and end of the state's reporting period, or the most recently available data. MH-TEDS contains one record for each admission to, and discharge from, a particular service type/setting (referred to as a treatment episode) within the client's treatment continuum during the reporting period. Data collected from MH-TEDS admission records and update/discharge records are stored as two separate data sets that are linked using a HIPAA-compliant, non-protected health information, unique client identifier located in both files. Linking the records allows for the creation of a single client record comparable to the MH-CLD format.

The process of creating an individual record using the MH-TEDS data allows for the reporting of these individuals in both the MH-TEDS and MH-CLD data sets. See the 2021 MH-CLD Annual Report for the full crosswalk between MH-TEDS and MH-CLD variable names.

#### **Confidentiality Protection**

Several measures are taken to protect the confidentiality of all records. Variables that potentially identify an individual in their raw form undergo top- or bottom-coding. For example, age as a continuous variable has the potential to identify both the youngest and oldest participants in a public release file. For this reason, age is recoded for the public use file to reduce disclosure risk. All the variables recoded are documented in Appendix B.

Disclosure analysis is used to identify records that remained unique after routine recoding measures were taken to protect confidentiality. Disclosure analysis is used to discern combinations of indirect identifiers that potentially link an individual to a record. Particular attention was given to the analytic importance of geographic data and of subgroup populations. Subsequently, data swapping was applied to produce the public use file in order to satisfy stringent confidentiality standards while preserving its analytic value.

The original location of a record in MH-CLD cannot be known for certain due to the use of data swapping. This method has several benefits over other disclosure protection options: (1) the overall impact to the data is typically small; (2) nearly all the data are left intact; (3) data for special populations (e.g., minorities) are no more impacted than other data; (4) the procedures typically do not affect any analytic uses of the file; and (5) the procedures allow greater detail to remain on the public use file (e.g., the original ethnicity codes).

#### **Universe/Extract Dates**

The universe for this MH-CLD public use file is the data received and processed through July 18, 2022. See the 2021 MH-CLD Annual Report for each state's reporting period.

#### Coverage

Data in the MH-CLD—whether collected as BCI submitted by SMHAs or derived from MH-TEDS—is supposed to include only clients from providers receiving public funding. However, because each state or jurisdiction decides how to fund their different mental health services providers, there is no independent check on the actual sources of funding. Moreover, the number of clients in the facilities whose treatment is not publicly funded is unknown.

#### **Data Limitations**

The MH-CLD, while providing valuable information about mental health service use, does not represent the total national demand for mental health treatment or describe the mental health status of the national population. The MH-CLD is a compilation of client-level data from facilities that operate under the authority of their state mental health administration. The scope of facilities from which the data is collected is affected by differences in state licensure, certification, accreditation, and disbursement of public funds. For example, some state mental health administrations regulate private facilities and individual practitioners, while others do not. Moreover, in some states, mental health services are provided in correctional facilities under the auspice of mental health agencies, while in other states they are not.

Up to three mental health diagnoses per individual can be reported to MH-TEDS or MH-CLD (mental health diagnoses one, two, and three). These diagnoses may not represent a complete enumeration of all diagnoses for individuals served. Furthermore, some individuals have no valid mental health diagnosis reported. If the missingness is not randomly distributed across facilities, estimated prevalence rates of the different mental health diseases may be biased.

#### **Created Variables**

The MH-CLD file contains several variables created from the original variables submitted by the states. For example, a variable was created to indicate whether a given mental health diagnosis was reported in the first, second, or third diagnosis field. These are called flag variables and labeled according to the diagnosis, such as *anxietyflg* for the anxiety disorders flag variable, *adhdflg* for the attention deficit/hyperactivity disorder (ADHD) flag, etc.

#### **Contents of Public Use File**

The MH-CLD public use file contains minimum and supplemental data from states that report MH-CLD and MH-TEDS data plus the Commonwealth of the Northern Mariana Islands, the Republic of Palau, Puerto Rico, and the District of Columbia. See the State Exclusions section of this codebook for the list of states and other jurisdictions in each year that did not report sufficient data.

The MH-CLD public use files are provided in SAS, SPSS, Stata, R, and ASCII comma-delimited formats.

## **User Responsibility**

Users are reminded that the data are to be used solely for statistical analysis and reporting of aggregated information and not for investigation or identification of specific individuals or organizations.

## **State Exclusions**

The following states did not report sufficient data and are excluded from the given year.

| Year | States   |
|------|--|
| 2015 | Alaska, American Samoa, Federated States of Micronesia, Georgia, Guam,<br>Kansas, Maine, Marshall Islands, Michigan, New Hampshire, Palau, Pennsylvania,<br>U.S. Virgin Islands, West Virginia |

# VARIABLE DESCRIPTIONS AND FREQUENCIES

#### **CASEID:** Case identification number

Program generated case (record) identifier.

A frequency distribution of this variable is not shown; each case has a unique value generated for identification purposes.

## YEAR: Reporting period

Indicates the end year of the state-defined reporting period. Such state-defined reporting periods, while generally encompassing the fiscal year July 1–June 30 of the corresponding calendar year, may also be defined on an October–September fiscal year, a lagged fiscal year, or both.

| Value | Label | Frequency | %    |
|-------|-------|-----------|------|
| 2015  | 2015  | 5,165,254 | 100% |
|       | Total | 5,165,254 | 100% |

## AGE: Age (recoded)

Calculated from the client's date of birth at midpoint of the state's elected reporting period.

| Value | Label                                 | Frequency | %     |
|-------|---------------------------------------|-----------|-------|
| 1     | 0-11 years                            | 710,211   | 13.7% |
| 2     | 12-14 years                           | 365,574   | 7.1%  |
| 3     | 15-17 years                           | 397,372   | 7.7%  |
| 4     | 18-20 years                           | 225,984   | 4.4%  |
| 5     | 21-24 years                           | 295,602   | 5.7%  |
| 6     | 25-29 years                           | 417,692   | 8.1%  |
| 7     | 30-34 years                           | 424,129   | 8.2%  |
| 8     | 35-39 years                           | 376,740   | 7.3%  |
| 9     | 40-44 years                           | 359,482   | 7.0%  |
| 10    | 45-49 years                           | 381,214   | 7.4%  |
| 11    | 50-54 years                           | 418,476   | 8.1%  |
| 12    | 55-59 years                           | 342,046   | 6.6%  |
| 13    | 60-64 years                           | 215,213   | 4.2%  |
| 14    | 65 years and older                    | 223,760   | 4.3%  |
| -9    | Missing/unknown/not collected/invalid | 11,759    | 0.2%  |
|       | Total                                 | 5,165,254 | 100%  |

#### **EDUC: Education**

Specifies the school grade level of three sub-populations of clients, as follows:

- Current grade level for school-age children who attended school at any time in the past three months.
- Highest grade level completed for school-age children who have not attended school at any time within the past three months.
- Highest educational attainment for all adult clients, whether currently in school or not.

| Value | Label                                 | Frequency | %     |
|-------|---------------------------------------|-----------|-------|
| 1     | Special education                     | 17,386    | 0.3%  |
| 2     | 0 to 8                                | 666,204   | 12.9% |
| 3     | 9 to 11                               | 458,117   | 8.9%  |
| 4     | 12 (or GED)                           | 808,793   | 15.7% |
| 5     | More than 12                          | 343,206   | 6.6%  |
| -9    | Missing/unknown/not collected/invalid | 2,871,548 | 55.6% |
|       | Total                                 | 5,165,254 | 100%  |

## **ETHNIC:** Hispanic or Latino origin (ethnicity)

Identifies whether or not the client is of Hispanic or Latino origin. Report the most recent available information for ethnicity at the end of the reporting period.

- Mexican: Of Mexican origin, regardless of race.
- Puerto Rican: Of Puerto Rican origin, regardless of race.
- Other Hispanic or Latino origin: Of known Central or South American or any other Spanish cultural origin (including Spain), other than Puerto Rican or Mexican, regardless of race. Includes clients of Hispanic or Latino origin whose specific origin is not known or not specified.
- Not of Hispanic or Latino origin.

| Value | Label                                 | Frequency | %     |
|-------|---------------------------------------|-----------|-------|
| 1     | Mexican                               | 55,731    | 1.1%  |
| 2     | Puerto Rican                          | 51,902    | 1.0%  |
| 3     | Other Hispanic or Latino origin       | 592,451   | 11.5% |
| 4     | Not of Hispanic or Latino origin      | 3,470,415 | 67.2% |
| -9    | Missing/unknown/not collected/invalid | 994,755   | 19.3% |
|       | Total                                 | 5,165,254 | 100%  |

#### **RACE: Race**

Specifies the client's most recent reported race at the end of the reporting period.

- American Indian/Alaska Native: A person having origins in any of the original people of North America and South America (including Central America) and who maintain tribal affiliation or community attachment.
- Asian: A person having origins in any of the following people of the Far East, the Indian subcontinent, or Southeast Asia, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.
- Black or African American: A person having origins in any of the Black racial groups of Africa.
- Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
- White: A person having origins in any of the original people of Europe, the Middle East, or North Africa.
- Some other race alone/two or more races combines:
  - o Some other race alone: Use this category for instances in which the client does not identify with any category above or whose origin group, because of area custom, is regarded as a racial class distinct from the above categories (do not use this category for clients indicating multiple or mixed races).
  - Two or more races: Use this code when the state data system allows multiple race selection and more than one race is indicated.

| Value | Label                                     | Frequency | %     |
|-------|---|-----------|-------|
| 1     | American Indian/Alaska Native             | 69,242    | 1.3%  |
| 2     | Asian                                     | 63,798    | 1.2%  |
| 3     | Black or African American                 | 981,716   | 19.0% |
| 4     | Native Hawaiian or Other Pacific Islander | 13,633    | 0.3%  |
| 5     | White                                     | 3,135,997 | 60.7% |
| 6     | Some other race alone/two or more races   | 432,988   | 8.4%  |
| -9    | Missing/unknown/not collected/invalid     | 467,880   | 9.1%  |
|       | Total                                     | 5,165,254 | 100%  |

#### **GENDER: Sex**

Identifies the client's most recent reported sex at the end of the reporting period.

| Value | Label                                 | Frequency | %     |
|-------|---------------------------------------|-----------|-------|
| 1     | Male                                  | 2,501,184 | 48.4% |
| 2     | Female                                | 2,647,114 | 51.2% |
| -9    | Missing/unknown/not collected/invalid | 16,956    | 0.3%  |
|       | Total                                 | 5,165,254 | 100%  |

## SPHSERVICE: State psychiatric hospital services

This field identifies whether a client received services from any SMHA-funded and SMHA-operated organizations operated as hospitals that provide primarily inpatient care to persons with mental illnesses from a specific geographical area and/or statewide.

| Value | Label                                      | Frequency | %     |
|-------|--|-----------|-------|
| 1     | Served in a state psychiatric hospital     | 121,456   | 2.4%  |
| 2     | Not served in a state psychiatric hospital | 5,043,798 | 97.6% |
|       | Total                                      | 5,165,254 | 100%  |

### CMPSERVICE: SMHA-funded/operated community-based program

This field identifies whether a client received services from any Community Mental Health Centers (CMHCs), outpatient clinics, partial care organizations, partial hospitalization programs, Program of Assertive Community Treatment (PACT) programs, consumer run programs (including Club Houses and drop-in centers), and all community support programs (CSP).

| Value | Label  | Frequency | %     |
|-------|--|-----------|-------|
| 1     | Served in SMHA-funded/operated community-based program     | 5,027,153 | 97.3% |
| 2     | Not served in SMHA-funded/operated community-based program | 138,101   | 2.7%  |
|       | Total  | 5,165,254 | 100%  |

## **OPISERVICE: Other psychiatric inpatient**

This field identifies whether a client received services from a private provider or medical provider licensed and/or contracted through the SMHA.

| Value | Label  | Frequency | %     |
|-------|--|-----------|-------|
| 1     | Served in 'other psychiatric inpatient center'     | 177,533   | 3.4%  |
| 2     | Not served in 'other psychiatric inpatient center' | 4,987,721 | 96.6% |
|       | Total  | 5,165,254 | 100%  |

## **RTCSERVICE:** Residential treatment center

This field identifies whether a client received services from an organization not licensed as a psychiatric hospital, the primary purpose of which is the provision of individually planned programs of mental health treatment services in conjunction with residential care for children and youth, and in some cases, adult care.

| Value | Label  | Frequency | %     |
|-------|--|-----------|-------|
| 1     | Served in a residential treatment center     | 43,296    | 0.8%  |
| 2     | Not served in a residential treatment center | 5,121,958 | 99.2% |
|       | Total  | 5,165,254 | 100%  |

## IJSSERVICE: Institutions under the justice system

This field identifies whether a client received mental health services in a jail, prison, juvenile detention center, etc.

| Value | Label  | Frequency | %     |
|-------|--|-----------|-------|
| 1     | Served by an institution under the justice system      | 70,512    | 1.4%  |
| 2     | Not served by any institution under the justice system | 5,094,742 | 98.6% |
|       | Total  | 5,165,254 | 100%  |

## MH1: Mental health diagnosis one

Specifies the client's current first mental health diagnosis during the reporting period.

| Value | Label  | Frequency | %     |
|-------|--|-----------|-------|
| 1     | Trauma- and stressor-related disorders                         | 612,626   | 11.9% |
| 2     | Anxiety disorders  | 404,509   | 7.8%  |
| 3     | Attention deficit/hyperactivity disorder (ADHD)                | 337,434   | 6.5%  |
| 4     | Conduct disorders  | 105,878   | 2.0%  |
| 5     | Delirium, dementia   | 19,184    | 0.4%  |
| 6     | Bipolar disorders  | 746,456   | 14.5% |
| 7     | Depressive disorders   | 1,204,914 | 23.3% |
| 8     | Oppositional defiant disorders                                 | 96,599    | 1.9%  |
| 9     | Pervasive developmental disorders                              | 44,032    | 0.9%  |
| 10    | Personality disorders  | 31,419    | 0.6%  |
| 11    | Schizophrenia or other psychotic disorders                     | 591,764   | 11.5% |
| 12    | Alcohol or substance use disorders                             | 116,018   | 2.2%  |
| 13    | Other disorders/conditions                                     | 204,290   | 4.0%  |
| -9    | Missing/unknown/not collected/invalid/no or deferred diagnosis | 650,131   | 12.6% |
|       | Total  | 5,165,254 | 100%  |

## MH2: Mental health diagnosis two

Specifies the client's current second mental health diagnosis during the reporting period.

| Value | Label  | Frequency | %     |
|-------|--|-----------|-------|
| 1     | Trauma- and stressor-related disorders                         | 203,719   | 3.9%  |
| 2     | Anxiety disorders  | 306,291   | 5.9%  |
| 3     | Attention deficit/hyperactivity disorder (ADHD)                | 142,337   | 2.8%  |
| 4     | Conduct disorders  | 34,525    | 0.7%  |
| 5     | Delirium, dementia   | 10,998    | 0.2%  |
| 6     | Bipolar disorders  | 88,710    | 1.7%  |
| 7     | Depressive disorders   | 185,467   | 3.6%  |
| 8     | Oppositional defiant disorders                                 | 56,054    | 1.1%  |
| 9     | Pervasive developmental disorders                              | 19,666    | 0.4%  |
| 10    | Personality disorders  | 93,602    | 1.8%  |
| 11    | Schizophrenia or other psychotic disorders                     | 40,489    | 0.8%  |
| 12    | Alcohol or substance use disorders                             | 135,765   | 2.6%  |
| 13    | Other disorders/conditions                                     | 718,340   | 13.9% |
| -9    | Missing/unknown/not collected/invalid/no or deferred diagnosis | 3,129,291 | 60.6% |
|       | Total  | 5,165,254 | 100%  |

## MH3: Mental health diagnosis three

Specifies the client's current third mental health diagnosis during the reporting period.

| Value | Label  | Frequency | %     |
|-------|--|-----------|-------|
| 1     | Trauma- and stressor-related disorders                         | 37,126    | 0.7%  |
| 2     | Anxiety disorders  | 44,588    | 0.9%  |
| 3     | Attention deficit/hyperactivity disorder (ADHD)                | 30,966    | 0.6%  |
| 4     | Conduct disorders  | 6,615     | 0.1%  |
| 5     | Delirium, dementia   | 3,254     | 0.1%  |
| 6     | Bipolar disorders  | 20,269    | 0.4%  |
| 7     | Depressive disorders   | 29,587    | 0.6%  |
| 8     | Oppositional defiant disorders                                 | 12,647    | 0.2%  |
| 9     | Pervasive developmental disorders                              | 5,783     | 0.1%  |
| 10    | Personality disorders  | 44,819    | 0.9%  |
| 11    | Schizophrenia or other psychotic disorders                     | 7,910     | 0.2%  |
| 12    | Alcohol or substance use disorders                             | 17,437    | 0.3%  |
| 13    | Other disorders/conditions                                     | 263,753   | 5.1%  |
| -9    | Missing/unknown/not collected/invalid/no or deferred diagnosis | 4,640,500 | 89.8% |
|       | Total  | 5,165,254 | 100%  |

## **SUB: Substance use diagnosis**

Specifies the client's substance use diagnosis that is current during the reporting period. The state should report the most recent substance use diagnosis.

| Value | Label  | Frequency | %     |
|-------|--|-----------|-------|
| 1     | Alcohol-induced disorder                                       | 7,629     | 0.1%  |
| 2     | Alcohol intoxication   | 3,959     | 0.1%  |
| 3     | Substance-induced disorder                                     | 22,044    | 0.4%  |
| 4     | Alcohol dependence   | 119,532   | 2.3%  |
| 5     | Cocaine dependence   | 23,521    | 0.5%  |
| 6     | Cannabis dependence  | 54,077    | 1.0%  |
| 7     | Opioid dependence  | 51,235    | 1.0%  |
| 8     | Other substance dependence                                     | 139,458   | 2.7%  |
| 9     | Alcohol abuse  | 72,035    | 1.4%  |
| 10    | Cocaine abuse  | 11,691    | 0.2%  |
| 11    | Cannabis abuse   | 74,958    | 1.5%  |
| 12    | Opioid abuse   | 7,303     | 0.1%  |
| 13    | Other substance related conditions                             | 74,624    | 1.4%  |
| -9    | Missing/unknown/not collected/invalid/no or deferred diagnosis | 4,503,188 | 87.2% |
|       | Total  | 5,165,254 | 100%  |

#### **MARSTAT: Marital status**

Identifies the client's marital status.

- Never married: Includes clients who are single or whose only marriage was annulled.
- Now married: Includes married couples, those living together as married, living with partners, or cohabitating.
- Separated: Includes those legally separated or otherwise absent from spouse because of marital discord.
- Divorced, widowed.

| Value | Label                                 | Frequency | %     |
|-------|---------------------------------------|-----------|-------|
| 1     | Never married                         | 1,716,270 | 33.2% |
| 2     | Now married                           | 342,597   | 6.6%  |
| 3     | Separated                             | 133,440   | 2.6%  |
| 4     | Divorced, widowed                     | 392,792   | 7.6%  |
| -9    | Missing/unknown/not collected/invalid | 2,580,155 | 50.0% |
|       | Total                                 | 5,165,254 | 100%  |

#### SMISED: SMI/SED status

Indicates whether the client has serious mental illness (SMI) or serious emotional disturbance (SED) using the state definition. Use the most recent available status at the end of the reporting period.

| Value | Label                                 | Frequency | %     |
|-------|---------------------------------------|-----------|-------|
| 1     | SMI                                   | 2,489,328 | 48.2% |
| 2     | SED and/or at risk for SED            | 1,009,802 | 19.5% |
| 3     | Not SMI/SED                           | 1,381,794 | 26.8% |
| -9    | Missing/unknown/not collected/invalid | 284,330   | 5.5%  |
|       | Total                                 | 5,165,254 | 100%  |

## SAP: Substance use problem

Specifies the client's substance use problem based on a substance use diagnosis and/or using other identification method such as substance use screening results, enrollment in a substance use program, substance use survey, service claims information, or other related sources of data.

| Value | Label                                 | Frequency | %     |
|-------|---------------------------------------|-----------|-------|
| 1     | Yes                                   | 842,300   | 16.3% |
| 2     | No                                    | 3,694,156 | 71.5% |
| -9    | Missing/unknown/not collected/invalid | 628,798   | 12.2% |
|       | Total                                 | 5,165,254 | 100%  |

## EMPLOY: Competitive employment status (aged 16 years and older) at discharge or end of the reporting period

Specifies the client's employment status at discharge (for new clients) or the most recent available employment status at the end of the reporting period (for continuing clients). This data element is reported for all clients (16 years old and over) who are receiving services in non-institutional setting. Institutional settings include correctional facilities like prison, jail, detention centers, and mental health care facilities like state hospitals, other psychiatric inpatient facilities, nursing homes, or other institutions that keep a person, otherwise able, from entering the labor force.

- Full-time: Use state definition for full time employment; includes members of the Armed Forces, and clients in full-time Supported Employment.
- Part-time: Use state definition for part time; includes clients in part-time Supported Employment.
- Employed full-time/part-time not differentiated (temporary code).
- Unemployed: Defined as actively looking for work or laid off from job (and awaiting to be recalled) in the past 30 days.
- Not in labor force: Defined as not employed and not actively looking for work during the past 30 days (i.e. people not interested to work or people who have been discouraged to look for work).

| Value | Label   | Frequency | %     |
|-------|---|-----------|-------|
| 1     | Full-time                                       | 207,889   | 4.0%  |
| 2     | Part-time                                       | 141,594   | 2.7%  |
| 3     | Employed full-time/part-time not differentiated | 66,507    | 1.3%  |
| 4     | Unemployed                                      | 576,770   | 11.2% |
| 5     | Not in labor force                              | 1,118,450 | 21.7% |
| -9    | Missing/unknown/not collected/invalid           | 3,054,044 | 59.1% |
|       | Total   | 5,165,254 | 100%  |

## **DETNLF: Detailed 'not in labor force' category**

This field gives more detailed information about those clients who are coded as 'not in labor force' for employment status (EMPLOY).

| Value | Label                                 | Frequency | %     |
|-------|---------------------------------------|-----------|-------|
| 1     | Retired, disabled                     | 480,669   | 9.3%  |
| 2     | Student                               | 165,644   | 3.2%  |
| 3     | Homemaker                             | 48,366    | 0.9%  |
| 4     | Sheltered/non-competitive employment  | 11,904    | 0.2%  |
| 5     | Other                                 | 411,867   | 8.0%  |
| -9    | Missing/unknown/not collected/invalid | 4,046,804 | 78.3% |
|       | Total                                 | 5,165,254 | 100%  |

#### **VETERAN: Veteran status**

This field specifies whether the client has served in the uniform services (Army, Navy, Air Force, Marine Corps, Coast Guard, Public Health Service Commissioned Corps, Coast and Geodetic Survey, etc..

Guidelines: A veteran is a person 16 years or over who has served (even for a short time), but is not now serving, on active duty in the U.S. Army, Navy, Air Force, Marine Corps, Coast Guard, or Commissioner Corps of the U.S. Public Health Service or the National Oceanic and Atmospheric Administration, or who served as a Merchant Marine seaman during World War II. Individuals who served in the National Guard or Military Reserves are classified as veterans only if they have ever been called or ordered to active duty (excluding the 4-6 months of initial training and yearly summer camps).

| Value | Label                                 | Frequency | %    |
|-------|---------------------------------------|-----------|------|
| -9    | Missing/unknown/not collected/invalid | 5,165,254 | 100% |
|       | Total                                 | 5,165,254 | 100% |

### LIVARAG: Residential status - at discharge or end of reporting period

Specifies client's residential status at time of discharge or end of reporting period (continuing clients).

- Homeless: Person has no fixed address; includes homeless, shelters.
- Private residence: Includes independent living adults, dependent living adults (adult clients living in a house, apartment, or other similar dwellings and are heavily dependent on others for daily living assistance), and children living in a private residence, regardless of living arrangement.
- Other: Clients living in a foster home or a care center, residential care facility, crisis residence, institutional care facility, jail and/or correctional facility, or other residential status.

| Value | Label                                 | Frequency | %     |
|-------|---------------------------------------|-----------|-------|
| 1     | Homeless                              | 113,874   | 2.2%  |
| 2     | Private residence                     | 2,713,945 | 52.5% |
| 3     | Other                                 | 403,724   | 7.8%  |
| -9    | Missing/unknown/not collected/invalid | 1,933,711 | 37.4% |
|       | Total                                 | 5,165,254 | 100%  |

## **NUMMHS: Number of mental health diagnoses reported**

Calculates the number of valid mental health diagnoses (maximum of three) that are reported for each client. For instance, the value of this variable will be 3 if nonmissing values are provided for all three mental health diagnoses (MH1, MH2, and MH3).

| Value | Label | Frequency | %     |
|-------|-------|-----------|-------|
| 0     | 0     | 650,131   | 12.6% |
| 1     | 1     | 2,479,151 | 48.0% |
| 2     | 2     | 1,511,227 | 29.3% |
| 3     | 3     | 524,745   | 10.2% |
|       | Total | 5,165,254 | 100%  |

## TRAUSTREFLG: Trauma- or stressor-related disorder reported

Flag records if a trauma- or stressor-related disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 4,311,783 | 83.5% |
| 1     | Disorder reported     | 853,471   | 16.5% |
|       | Total                 | 5,165,254 | 100%  |

## **ANXIETYFLG: Anxiety disorder reported**

Flag records if an anxiety disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 4,409,866 | 85.4% |
| 1     | Disorder reported     | 755,388   | 14.6% |
|       | Total                 | 5,165,254 | 100%  |

## ADHDFLG: Attention deficit/hyperactivity disorder reported

Flag records if attention deficit/hyperactivity disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 4,654,517 | 90.1% |
| 1     | Disorder reported     | 510,737   | 9.9%  |
|       | Total                 | 5,165,254 | 100%  |

## **CONDUCTFLG: Conduct disorder reported**

Flag records if a conduct disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 5,018,236 | 97.2% |
| 1     | Disorder reported     | 147,018   | 2.8%  |
|       | Total                 | 5,165,254 | 100%  |

## **DELIRDEMFLG: Delirium/dementia disorder reported**

Flag records if delirium/dementia disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 5,131,818 | 99.4% |
| 1     | Disorder reported     | 33,436    | 0.6%  |
|       | Total                 | 5,165,254 | 100%  |

## **BIPOLARFLG: Bipolar disorder reported**

Flag records if a bipolar disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 4,309,819 | 83.4% |
| 1     | Disorder reported     | 855,435   | 16.6% |
|       | Total                 | 5,165,254 | 100%  |

## **DEPRESSFLG: Depressive disorder reported**

Flag records if a depressive disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 3,745,286 | 72.5% |
| 1     | Disorder reported     | 1,419,968 | 27.5% |
|       | Total                 | 5,165,254 | 100%  |

## **ODDFLG: Oppositional defiant disorder reported**

Flag records if oppositional defiant disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 4,999,954 | 96.8% |
| 1     | Disorder reported     | 165,300   | 3.2%  |
|       | Total                 | 5,165,254 | 100%  |

## PDDFLG: Pervasive developmental disorder reported

Flag records if a pervasive developmental disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 5,095,773 | 98.7% |
| 1     | Disorder reported     | 69,481    | 1.3%  |
|       | Total                 | 5,165,254 | 100%  |

## PERSONFLG: Personality disorder reported

Flag records if a personality disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 4,995,414 | 96.7% |
| 1     | Disorder reported     | 169,840   | 3.3%  |
|       | Total                 | 5,165,254 | 100%  |

## SCHIZOFLG: Schizophrenia or other psychotic disorder reported

Flag records if schizophrenia or other psychotic disorders were reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 4,525,091 | 87.6% |
| 1     | Disorder reported     | 640,163   | 12.4% |
|       | Total                 | 5,165,254 | 100%  |

#### ALCSUBFLG: Alcohol or substance-related disorder reported

Flag records if an alcohol or substance use disorder was reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 4,896,034 | 94.8% |
| 1     | Disorder reported     | 269,220   | 5.2%  |
|       | Total                 | 5,165,254 | 100%  |

## OTHERDISFLG: Other mental disorder reported

Flag records if other mental disorders were reported in the first, second, or third mental health diagnosis field.

| Value | Label                 | Frequency | %     |
|-------|-----------------------|-----------|-------|
| 0     | Disorder not reported | 3,979,031 | 77.0% |
| 1     | Disorder reported     | 1,186,223 | 23.0% |
|       | Total                 | 5,165,254 | 100%  |

## STATEFIP: Reporting state code

Identifies the reporting state.

| Value | Label                | Frequency | %     |
|-------|----------------------|-----------|-------|
| 1     | Alabama              | 101,582   | 2.0%  |
| 4     | Arizona              | 155,122   | 3.0%  |
| 5     | Arkansas             | 69,398    | 1.3%  |
| 6     | California           | 695,815   | 13.5% |
| 8     | Colorado             | 130,537   | 2.5%  |
| 9     | Connecticut          | 90,524    | 1.8%  |
| 10    | Delaware             | 9,680     | 0.2%  |
| 11    | District of Columbia | 28,531    | 0.6%  |
| 12    | Florida              | 230,652   | 4.5%  |
| 15    | Hawaii               | 10,645    | 0.2%  |
| 16    | Idaho                | 14,425    | 0.3%  |
| 17    | Illinois             | 130,002   | 2.5%  |
| 18    | Indiana              | 134,121   | 2.6%  |
| 19    | lowa                 | 116,443   | 2.3%  |
| 21    | Kentucky             | 160,096   | 3.1%  |
| 22    | Louisiana            | 43,831    | 0.8%  |
| 24    | Maryland             | 185,306   | 3.6%  |
| 25    | Massachusetts        | 33,556    | 0.6%  |
| 27    | Minnesota            | 225,786   | 4.4%  |
| 28    | Mississippi          | 90,279    | 1.7%  |
| 29    | Missouri             | 75,472    | 1.5%  |
| 30    | Montana              | 46,288    | 0.9%  |
| 31    | Nebraska             | 19,441    | 0.4%  |
| 32    | Nevada               | 28,589    | 0.6%  |
| 34    | New Jersey           | 325,102   | 6.3%  |
| 35    | New Mexico           | 121,603   | 2.4%  |
| 36    | New York             | 54,524    | 1.1%  |
| 37    | North Carolina       | 225,452   | 4.4%  |
| 38    | North Dakota         | 14,943    | 0.3%  |
| 39    | Ohio                 | 415,094   | 8.0%  |
| 40    | Oklahoma             | 74,836    | 1.4%  |
| 41    | Oregon               | 131,581   | 2.5%  |
| 44    | Rhode Island         | 32,061    | 0.6%  |
| 45    | South Carolina       | 83,286    | 1.6%  |
| 46    | South Dakota         | 15,928    | 0.3%  |
| 47    | Tennessee            | 115,168   | 2.2%  |
| 48    | Texas                | 348,147   | 6.7%  |
| 49    | Utah                 | 51,080    | 1.0%  |
| 50    | Vermont              | 24,580    | 0.5%  |
| 51    | Virginia             | 115,824   | 2.2%  |
|       |                      |           |       |

## STATEFIP: Reporting state code

Identifies the reporting state.

| Value | Label               | Frequency | %    |
|-------|---------------------|-----------|------|
| 53    | Washington          | 75,828    | 1.5% |
| 55    | Wisconsin           | 72,929    | 1.4% |
| 56    | Wyoming             | 17,798    | 0.3% |
| 72    | Puerto Rico         | 23,194    | 0.4% |
| 99    | Other jurisdictions | 175       | 0.0% |
|       | Total               | 5,165,254 | 100% |

#### **DIVISION: Census division**

Census divisions are groupings of states that are subdivisions of the four Census regions. There are nine divisions, which the Census Bureau adopted in 1910 for the presentation of data. Also included are other jurisdictions as a tenth category. The divisions, and the states and other jurisdictions included in them, are:

- Other jurisdictions: Puerto Rico, Republic of Palau, and the Commonwealth of the Northern Mariana Islands.
- New England: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont.
- Middle Atlantic: New Jersey, New York, and Pennsylvania.
- East North Central: Illinois, Indiana, Michigan, Ohio, and Wisconsin.
- West North Central: Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, and South Dakota.
- South Atlantic: Delaware, District of Columbia, Florida, Georgia, Maryland, North Carolina, South Carolina, Virginia, and West Virginia.
- East South Central: Alabama, Kentucky, Mississippi, and Tennessee.
- · West South Central: Arkansas, Louisiana, Oklahoma, and Texas.
- Mountain: Arizona, Colorado, Idaho, Montana, Nevada, New Mexico, Utah, and Wyoming.
- Pacific: Alaska, California, Hawaii, Oregon, and Washington.

| Value | Label               | Frequency | %     |
|-------|---------------------|-----------|-------|
| 0     | Other jurisdictions | 23,369    | 0.5%  |
| 1     | New England         | 180,721   | 3.5%  |
| 2     | Middle Atlantic     | 379,626   | 7.3%  |
| 3     | East North Central  | 752,146   | 14.6% |
| 4     | West North Central  | 468,013   | 9.1%  |
| 5     | South Atlantic      | 878,731   | 17.0% |
| 6     | East South Central  | 467,125   | 9.0%  |
| 7     | West South Central  | 536,212   | 10.4% |
| 8     | Mountain            | 565,442   | 10.9% |
| 9     | Pacific             | 913,869   | 17.7% |
|       | Total               | 5,165,254 | 100%  |

#### **REGION: Census region**

Geographic regions are based on divisions used by the U.S. Census Bureau, with the addition of other jurisdictions, which are not included in any Census region:

- Other jurisdictions: Puerto Rico, Republic of Palau, and the Commonwealth of the Northern Mariana Islands.
- Northeast: New England Division (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont) and Middle Atlantic Division (New Jersey, New York, Pennsylvania).
- Midwest: East North Central Division (Illinois, Indiana, Michigan, Ohio, Wisconsin) and West North Central Division (Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, South Dakota).
- South: South Atlantic Division (Delaware, District of Columbia, Florida, Georgia, Maryland, North Carolina, South Carolina, Virginia, West Virginia), East South Central Division (Alabama, Kentucky, Mississippi, Tennessee), and West South Central Division (Arkansas, Louisiana, Oklahoma, Texas).
- West: Mountain Division (Arizona, Colorado, Idaho, Montana, Nevada, New Mexico, Utah, Wyoming) and Pacific Division (Alaska, California, Hawaii, Oregon, Washington).

| Value | Label               | Frequency | %     |
|-------|---------------------|-----------|-------|
| 0     | Other jurisdictions | 23,369    | 0.5%  |
| 1     | Northeast           | 560,347   | 10.8% |
| 2     | Midwest             | 1,220,159 | 23.6% |
| 3     | South               | 1,882,068 | 36.4% |
| 4     | West                | 1,479,311 | 28.6% |
|       | Total               | 5,165,254 | 100%  |



## Appendix A. MH-CLD Variable Information (Alphabetical Order)

| Variable    | Туре    | Length | Label   |  |
|-------------|---------|--------|---|--|
| ADHDFLG     | Numeric | 8      | Attention deficit/hyperactivity disorder reported   |  |
| AGE         | Numeric | 8      | Age (recoded)   |  |
| ALCSUBFLG   | Numeric | 8      | Alcohol or substance-related disorder reported  |  |
| ANXIETYFLG  | Numeric | 8      | Anxiety disorder reported   |  |
| BIPOLARFLG  | Numeric | 8      | Bipolar disorder reported   |  |
| CASEID      | Numeric | 8      | Case identification number  |  |
| CMPSERVICE  | Numeric | 8      | SMHA-funded/operated community-based program  |  |
| CONDUCTFLG  | Numeric | 8      | Conduct disorder reported   |  |
| DELIRDEMFLG | Numeric | 8      | Delirium/dementia disorder reported   |  |
| DEPRESSFLG  | Numeric | 8      | Depressive disorder reported  |  |
| DETNLF      | Numeric | 8      | Detailed 'not in labor force' category  |  |
| DIVISION    | Numeric | 8      | Census division   |  |
| EDUC        | Numeric | 8      | Education   |  |
| EMPLOY      | Numeric | 8      | Competitive employment status (aged 16 years and older) at discharge or end of the reporting period |  |
| ETHNIC      | Numeric | 8      | Hispanic or Latino origin (ethnicity)   |  |
| GENDER      | Numeric | 8      | Sex   |  |
| IJSSERVICE  | Numeric | 8      | Institutions under the justice system   |  |
| LIVARAG     | Numeric | 8      | Residential status — at discharge or end of reporting period  |  |
| MARSTAT     | Numeric | 8      | Marital status  |  |
| MH1         | Numeric | 8      | Mental health diagnosis one   |  |
| MH2         | Numeric | 8      | Mental health diagnosis two   |  |
| мнз         | Numeric | 8      | Mental health diagnosis three   |  |
| NUMMHS      | Numeric | 8      | Number of mental health diagnoses reported  |  |
| ODDFLG      | Numeric | 8      | Oppositional defiant disorder reported  |  |
| OPISERVICE  | Numeric | 8      | Other psychiatric inpatient   |  |
| OTHERDISFLG | Numeric | 8      | Other mental disorder reported  |  |
| PDDFLG      | Numeric | 8      | Pervasive developmental disorder reported   |  |
| PERSONFLG   | Numeric | 8      | Personality disorder reported   |  |
| RACE        | Numeric | 8      | Race  |  |
| REGION      | Numeric | 8      | Census region   |  |
| RTCSERVICE  | Numeric | 8      | Residential treatment center  |  |
| SAP         | Numeric | 8      | Substance use problem   |  |
| SCHIZOFLG   | Numeric | 8      | Schizophrenia or other psychotic disorder reported  |  |
| SMISED      | Numeric | 8      | SMI/SED status  |  |
| SPHSERVICE  | Numeric | 8      | State psychiatric hospital services   |  |
|             |         |        |   |  |

| Variable  | Туре    | Length   | Label                   |
|---|---------|--|-------------------------|
| STATEFIP  | Numeric | 8  | Reporting state code    |
| SUB   | Numeric | 8  | Substance use diagnosis |
| TRAUSTREFLG Numeric 8 Trauma- and stressor-related disorder |         | Trauma- and stressor-related disorder reported |                         |
| VETERAN   | Numeric | 8  | Veteran status          |
| YEAR  | Numeric | 8  | Reporting period        |

# Appendix B. Variable Recode Table

| Variable                    | Original codes               | Recodes                            |
|-----------------------------|------------------------------|------------------------------------|
| AGE                         | Continuous (0-85)            | 1 0-11 years old                   |
| Age at midpoint of the      |                              | 2 12–14 years old                  |
| state's elected             |                              | 3 15-17 years old                  |
| reporting period.           |                              | 4 18–20 years old                  |
|                             |                              | 5 21–24 years old                  |
|                             |                              | 6 25–29 years old                  |
|                             |                              | 7 30-34 years old                  |
|                             |                              | 8 35-39 years old                  |
|                             |                              | 9 40-44 years old                  |
|                             |                              | 10 45-49 years old                 |
|                             |                              | 11 50-54 years old                 |
|                             |                              | 12 55-59 years old                 |
|                             |                              | 13 60-64 years old                 |
|                             |                              | 14 65 years and older              |
| ETHNIC                      | 1 Hispanic origin            | 1 Mexican                          |
| Ethnicity (Hispanic origin) | 11 Puerto Rican              | 2 Puerto Rican                     |
|                             | 12 Mexican                   | 3 Other Hispanic or Latino origin  |
|                             | 13 Cuban                     | 4 Not of Hispanic or Latino origin |
|                             | 14 Other specific Hispanic   |                                    |
|                             | 2 Not of Hispanic origin     |                                    |
| MARSTAT                     | 1 Never married              | 1 Never married                    |
| Marital status              | 2 Married/Living as a couple | 2 Now married                      |
|                             | 3 Separated                  | 3 Separated                        |
|                             | 4 Divorced                   | 4 Divorced, widowed                |
|                             | 5 Widowed                    |                                    |

| Variable                      |    | Original codes                                   |   | Recodes                          |
|-------------------------------|----|--|---|----------------------------------|
| EDUC                          | 0  | No years of schooling                            | 1 | Special education                |
| Education                     | 1  | Grade 1  | 2 | 8 years or less                  |
|                               | 2  | Grade 2  | 3 | 9-11 years                       |
|                               | 3  | Grade 3  | 4 | 12 years (or GED)                |
|                               | 4  | Grade 4  | 5 | 13 years or more                 |
|                               | 5  | Grade 5  |   |                                  |
|                               | 6  | Grade 6  |   |                                  |
|                               | 7  | Grade 7  |   |                                  |
|                               | 8  | Grade 8  |   |                                  |
|                               | 9  | Grade 9  |   |                                  |
|                               | 10 | Grade 10   |   |                                  |
|                               | 11 | Grade 11   |   |                                  |
|                               | 12 | Grade 12   |   |                                  |
|                               | 13 | Nursery school                                   |   |                                  |
|                               | 14 | Kindergarten                                     |   |                                  |
|                               | 15 | Self-contained special education class           |   |                                  |
|                               | 16 | Vocational school                                |   |                                  |
|                               | 17 | College undergraduate freshman                   |   |                                  |
|                               | 18 | College undergraduate sophomore                  |   |                                  |
|                               | 19 | College undergraduate junior                     |   |                                  |
|                               | 20 | College undergraduate senior                     |   |                                  |
|                               | 21 | Graduate or professional school                  |   |                                  |
| DETNLF                        | 14 | Homemaker  | 1 | Retired, disabled                |
| Detailed 'not in labor force' | 24 | Student  | 2 | Student                          |
|                               | 34 | Retired  | 3 | Homemaker                        |
|                               | 44 | Disabled   | 4 | Sheltered/non-competitive        |
|                               |    | Other reported classification                    |   | employment                       |
|                               |    | Sheltered/non-competitive employment             | 5 | Other                            |
|                               | 84 | Not in labor force, classification not specified |   |                                  |
| EMPLOY                        | 1  | Full-time  | 1 | Full-time                        |
| Competitive employment        | 2  | Part-time  | 2 | Part-time                        |
| status                        | 3  | Unemployed                                       | 3 | Employed full-time/part-time not |
| (aged 16 years or older) - at | 5  | Employed full-time/part-time not                 | 5 | differentiated                   |
| discharge or end of the       | ,  | differentiated                                   | 4 | Unemployed                       |
| reporting period              | 14 | Homemaker  | 5 | Not in labor force               |
|                               | 24 | Student  |   |                                  |
|                               | 34 | Retired  |   |                                  |
|                               | 44 | Disabled   |   |                                  |
|                               | 64 | Other reported classification                    |   |                                  |
|                               |    | Sheltered/non-competitive employment             |   |                                  |
|                               | 84 | Not in labor force, classification not specified |   |                                  |

| Variable                |    | Original codes   |   | Recodes                          |
|-------------------------|----|--|---|----------------------------------|
| LIVARAG                 | 1  | Homeless   | 1 | Homeless                         |
| Residential status – at | 2  | Foster home/foster care  | 2 | Private residence<br>Other       |
| discharge or end of     | 3  | Residential care   |   |                                  |
| reporting period        | 4  | Crisis residence   |   |                                  |
|                         | 5  | Institutional setting  |   |                                  |
|                         | 6  | Jail/correctional facility/other institutions under justice system |   |                                  |
|                         | 7  | Private residence  |   |                                  |
|                         | 17 | Independent living   |   |                                  |
|                         | 27 | Dependent living   |   |                                  |
|                         | 37 | Private residence (for children use only)                          |   |                                  |
|                         | 8  | Other residential status   |   |                                  |
| RACE                    | 2  | American Indian and Alaska   | 1 | American Indian/Alaska Native    |
|                         |    | Native   | 2 | Asian                            |
|                         | 3  | Asian or Pacific Islander  | 3 | Black or African American        |
|                         | 13 | Asian  | 4 | Native Hawaiian or Other Pacific |
|                         | 23 | Native Hawaiian or Other Pacific                                   |   | Islander                         |
|                         |    | Islander   | 5 | White                            |
|                         | 4  | Black or African American  | 6 | Some other race alone/two or     |
|                         | 5  | White  |   | more races                       |
|                         | 20 | Some other race alone  |   |                                  |
|                         | 21 | Two or more races  |   |                                  |
|                         | 22 | Hispanic   |   |                                  |

#### **Appendix C. Technical Notes**

The MH-CLD public use file contains several variables created by combining or recoding original variables submitted by states. The following notes describe how these variables are created or recoded.

Flag variables for mental health diagnoses:

- If first, second, or third mental health diagnosis is 1 *trauma- or stressor-related disorder*, then trauma- or stressor-related disorder flag is 1;
- if first, second, or third mental health diagnosis is 2 *anxiety disorder*, then anxiety disorder flag is 1;
- if first, second, or third mental health diagnosis is 3 *attention deficit/hyperactivity disorder*, then attention deficit/hyperactivity disorder flag is 1;
- if first, second, or third mental health diagnosis is 4 *conduct disorder*, then conduct disorder flag is 1;
- if first, second, or third mental health diagnosis is 5 *delirium/dementia disorder*, then delirium/dementia disorder flag is 1;
- if first, second, or third mental health diagnosis is 6 *bipolar disorder*, then bipolar disorder flag is 1;
- if first, second, or third mental health diagnosis is 7 *depressive disorder*, then depressive disorder flag is 1;
- if first, second, or third mental health diagnosis is 8 *oppositional defiant disorder*, then oppositional defiant disorder flag is 1;
- if first, second, or third mental health diagnosis is 9 *pervasive developmental disorder*, then pervasive developmental disorder flag is 1;
- if first, second, or third mental health diagnosis is 10 *personality disorder*, then personality disorder flag is 1;
- if first, second, or third mental health diagnosis is 11 *schizophrenia or other psychotic disorder*, then schizophrenia or other psychotic disorder flag is 1;
- if first, second, or third mental health diagnosis is 12 *alcohol or substance use disorder*, then alcohol or substance use disorder flag is 1;
- if first, second, or third mental health diagnosis is 13 *other mental disorder*, then other mental disorder flag is 1.