# MENTAL HEALTH CLIENT-LEVEL DATA (MH-CLD), 2016:

## **CODEBOOK**

Center for Behavioral Health Statistics and Quality
Substance Abuse and Mental Health Services Administration

#### Mental Health Client-Level Data (MH-CLD), 2016: Codebook

#### Acknowledgements

This data file codebook was prepared for the Substance Abuse and Mental Health Services Administration (SAMHSA), U.S. Department of Health and Human Services (HHS), under Contract No. 75S20320C00001. Heydy Juarez served as the Contracting Officer's Representative (COR) at SAMHSA's Center for Behavioral Health Statistics and Quality (CBHSQ).

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Substance Abuse and Mental Health Services Administration, Center for Behavioral Health Statistics and Quality. *Mental Health Client-Level Data 2016*. Rockville, MD: SAMHSA, 2023.

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#### **Mental Health Client-Level Data: 2016**

This codebook documents the Mental Health Client-Level Data (MH-CLD) for the 2016 reporting period. MH-CLD provides demographic and mental health characteristics for clients who have used mental health services in facilities that report to individual state administrative data systems. National mental health client-level data reporting was launched in 2011.

The general framework for the MH-CLD involves a compilation of the demographic, clinical, and outcome data of individuals served by the state mental health agency (SMHA) within a state-defined 12-month reporting period. States may choose the calendar year or the state fiscal year as their reporting period. *Individuals served* is defined as all enrolled individuals who received mental health and support services, including screening, assessment, crisis services, and telemedicine/telehealth, from programs operated or funded by the SMHA during the reporting period.

Two data sets are submitted each reporting period: Basic Client Information (BCI) is due December 1, and the State Hospital Readmission (SHR) data set is due March 1 of the following year. These two data sets inform five mental health national outcome measures (NOMs): access to services/capacity, stability in housing/residential status, 30-day and 180-day readmission to state hospital, adult employment or children's school attendance and education level, and criminal justice involvement. There are five additional NOMs—social connectedness, decreased mental illness symptomatology, perception of care, cost effectiveness, and use of evidence-based practices—that have not been converted into client-level data reporting and continue to be captured in the Uniform Reporting System (URS). Data are reported in accordance with the Health Insurance Portability and Accountability Act (HIPAA) rules for non-protected health information (non-PHI).

In 2010, the Substance Abuse and Mental Health Services Administration (SAMHSA), through the Center for Behavioral Health Statistics and Quality (CBHSQ), began a parallel effort of testing the feasibility of building a national behavioral health database that integrates both mental health and substance use data using a uniform platform for data reporting. This effort led to the integration of mental health data into the well-established system of reporting for substance use client-level data, known as the Treatment Episode Data Set (TEDS). To enable reporting of mental health client data to TEDS, the system was modified to incorporate data elements that capture the characteristics unique to the mental health services system and the individuals receiving mental health services. These characteristics include, among others, the extended period of time that an individual may be engaged in treatment, the recovery process, and the simultaneous receipt of different types of services from different service providers. This method of reporting mental health data at the individual level is referred to as the Mental Health Treatment Episode Data Set (MH-TEDS).

Unlike MH-CLD, MH-TEDS is structured around treatment events, that is, admissions and discharges from specific service settings. Admission and discharge records can be linked to track treatment episodes and the treatment services received by individuals. Thus, with MH-TEDS, both the individual client and the treatment episode can serve as a unit of analysis, whereas with MH-CLD the client is the sole unit of analysis. The common TEDS platform in which both the substance use and mental health data are reported enhances the ability to report data on people with co-occurring mental health and substance use disorders. It also offers optional data fields for individuals with mental illness that are not captured in MH-CLD, such as referral source, details on criminal justice referral, income sources, and health insurance.

#### **Data Collection**

The data described in this codebook are derived from a reconciliation of the two reporting methods (MH-TEDS and MH-CLD), using individual clients as the unit of analysis. If you need copies of the Mental Health Client-Level Data State Instruction Manual and the Combined Substance Use and Mental Health Treatment Episode Data Set State Instruction Manual, please contact the BHSIS Office at BHSIS Outreach@hendall.com.

#### Reconciling data from MH-TEDS and MH-CLD

Both the MH-TEDS and MH-CLD include individuals served through SMHAs during the state-defined 12-month reporting period. Most states elect to use the state fiscal year, which usually runs from July 1 through June 30 of the following year; however, some states define their fiscal year differently. See the 2021 MH-CLD Annual Report for details.

MH-CLD contains one record for each individual served. Data items are populated by the states based on the status of the individual, either at the start and end of the state's reporting period, or the most recently available data. MH-TEDS contains one record for each admission to, and discharge from, a particular service type/setting (referred to as a treatment episode) within the client's treatment continuum during the reporting period. Data collected from MH-TEDS admission records and update/discharge records are stored as two separate data sets that are linked using a HIPAA-compliant, non-protected health information, unique client identifier located in both files. Linking the records allows for the creation of a single client record comparable to the MH-CLD format.

The process of creating an individual record using the MH-TEDS data allows for the reporting of these individuals in both the MH-TEDS and MH-CLD data sets. See the 2021 MH-CLD Annual Report for the full crosswalk between MH-TEDS and MH-CLD variable names.

#### **Confidentiality Protection**

Several measures are taken to protect the confidentiality of all records. Variables that potentially identify an individual in their raw form undergo top- or bottom-coding. For example, age as a continuous variable has the potential to identify both the youngest and oldest participants in a public release file. For this reason, age is recoded for the public use file to reduce disclosure risk. All the variables recoded are documented in Appendix B.

Disclosure analysis is used to identify records that remained unique after routine recoding measures were taken to protect confidentiality. Disclosure analysis is used to discern combinations of indirect identifiers that potentially link an individual to a record. Particular attention was given to the analytic importance of geographic data and of subgroup populations. Subsequently, data swapping was applied to produce the public use file in order to satisfy stringent confidentiality standards while preserving its analytic value.

The original location of a record in MH-CLD cannot be known for certain due to the use of data swapping. This method has several benefits over other disclosure protection options: (1) the overall impact to the data is typically small; (2) nearly all the data are left intact; (3) data for special populations (e.g., minorities) are no more impacted than other data; (4) the procedures typically do not affect any analytic uses of the file; and (5) the procedures allow greater detail to remain on the public use file (e.g., the original ethnicity codes).

#### **Universe/Extract Dates**

The universe for this MH-CLD public use file is the data received and processed through July 18, 2022. See the 2021 MH-CLD Annual Report for each state's reporting period.

#### Coverage

Data in the MH-CLD—whether collected as BCI submitted by SMHAs or derived from MH-TEDS—is supposed to include only clients from providers receiving public funding. However, because each state or jurisdiction decides how to fund their different mental health services providers, there is no independent check on the actual sources of funding. Moreover, the number of clients in the facilities whose treatment is not publicly funded is unknown.

#### **Data Limitations**

The MH-CLD, while providing valuable information about mental health service use, does not represent the total national demand for mental health treatment or describe the mental health status of the national population. The MH-CLD is a compilation of client-level data from facilities that operate under the authority of their state mental health administration. The scope of facilities from which the data is collected is affected by differences in state licensure, certification, accreditation, and disbursement of public funds. For example, some state mental health administrations regulate private facilities and individual practitioners, while others do not. Moreover, in some states, mental health services are provided in correctional facilities under the auspice of mental health agencies, while in other states they are not.

Up to three mental health diagnoses per individual can be reported to MH-TEDS or MH-CLD (mental health diagnoses one, two, and three). These diagnoses may not represent a complete enumeration of all diagnoses for individuals served. Furthermore, some individuals have no valid mental health diagnosis reported. If the missingness is not randomly distributed across facilities, estimated prevalence rates of the different mental health diseases may be biased.

#### **Created Variables**

The MH-CLD file contains several variables created from the original variables submitted by the states. For example, a variable was created to indicate whether a given mental health diagnosis was reported in the first, second, or third diagnosis field. These are called flag variables and labeled according to the diagnosis, such as *anxietyflg* for the anxiety disorders flag variable, *adhdflg* for the attention deficit/hyperactivity disorder (ADHD) flag, etc.

#### **Contents of Public Use File**

The MH-CLD public use file contains minimum and supplemental data from states that report MH-CLD and MH-TEDS data plus the Commonwealth of the Northern Mariana Islands, the Republic of Palau, Puerto Rico, and the District of Columbia. See the State Exclusions section of this codebook for the list of states and other jurisdictions in each year that did not report sufficient data.

The MH-CLD public use files are provided in SAS, SPSS, Stata, R, and ASCII comma-delimited formats.

## **User Responsibility**

Users are reminded that the data are to be used solely for statistical analysis and reporting of aggregated information and not for investigation or identification of specific individuals or organizations.

## **State Exclusions**

The following states did not report sufficient data and are excluded from the given year.

Year	States
2016	Alaska, American Samoa, Federated States of Micronesia, Georgia, Guam, Kansas, Maine, Marshall Islands, Michigan, New Hampshire, U.S. Virgin Islands, West Virginia

# VARIABLE DESCRIPTIONS AND FREQUENCIES

#### **CASEID:** Case identification number

Program generated case (record) identifier.

A frequency distribution of this variable is not shown; each case has a unique value generated for identification purposes.

## YEAR: Reporting period

Indicates the end year of the state-defined reporting period. Such state-defined reporting periods, while generally encompassing the fiscal year July 1–June 30 of the corresponding calendar year, may also be defined on an October–September fiscal year, a lagged fiscal year, or both.

Value	Label	Frequency	%
2016	2016	5,656,750	100%
	Total	5,656,750	100%

## AGE: Age (recoded)

Calculated from the client's date of birth at midpoint of the state's elected reporting period.

Value	Label	Frequency	%
1	0-11 years	789,831	14.0%
2	12-14 years	390,461	6.9%
3	15-17 years	430,652	7.6%
4	18-20 years	250,346	4.4%
5	21-24 years	323,282	5.7%
6	25-29 years	471,105	8.3%
7	30-34 years	467,115	8.3%
8	35-39 years	422,607	7.5%
9	40-44 years	377,450	6.7%
10	45-49 years	405,443	7.2%
11	50-54 years	442,656	7.8%
12	55-59 years	377,066	6.7%
13	60-64 years	242,842	4.3%
14	65 years and older	252,093	4.5%
-9	Missing/unknown/not collected/invalid	13,801	0.2%
	Total	5,656,750	100%

#### **EDUC: Education**

Specifies the school grade level of three sub-populations of clients, as follows:

- Current grade level for school-age children who attended school at any time in the past three months.
- Highest grade level completed for school-age children who have not attended school at any time within the past three months.
- Highest educational attainment for all adult clients, whether currently in school or not.

Value	Label	Frequency	%
1	Special education	15,222	0.3%
2	0 to 8	678,185	12.0%
3	9 to 11	461,066	8.2%
4	12 (or GED)	840,583	14.9%
5	More than 12	361,336	6.4%
-9	Missing/unknown/not collected/invalid	3,300,358	58.3%
	Total	5,656,750	100%

## **ETHNIC:** Hispanic or Latino origin (ethnicity)

Identifies whether or not the client is of Hispanic or Latino origin. Report the most recent available information for ethnicity at the end of the reporting period.

- Mexican: Of Mexican origin, regardless of race.
- Puerto Rican: Of Puerto Rican origin, regardless of race.
- Other Hispanic or Latino origin: Of known Central or South American or any other Spanish cultural origin (including Spain), other than Puerto Rican or Mexican, regardless of race. Includes clients of Hispanic or Latino origin whose specific origin is not known or not specified.
- Not of Hispanic or Latino origin.

Value	Label	Frequency	%
1	Mexican	43,849	0.8%
2	Puerto Rican	44,160	0.8%
3	Other Hispanic or Latino origin	683,825	12.1%
4	Not of Hispanic or Latino origin	3,781,454	66.8%
-9	Missing/unknown/not collected/invalid	1,103,462	19.5%
	Total	5,656,750	100%

#### **RACE: Race**

Specifies the client's most recent reported race at the end of the reporting period.

- American Indian/Alaska Native: A person having origins in any of the original people of North America and South America (including Central America) and who maintain tribal affiliation or community attachment.
- Asian: A person having origins in any of the following people of the Far East, the Indian subcontinent, or Southeast Asia, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands. Thailand, and Vietnam.
- Black or African American: A person having origins in any of the Black racial groups of Africa.
- Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
- White: A person having origins in any of the original people of Europe, the Middle East, or North Africa.
- Some other race alone/two or more races combines:
  - Some other race alone: Use this category for instances in which the client does not identify with any
    category above or whose origin group, because of area custom, is regarded as a racial class distinct from
    the above categories (do not use this category for clients indicating multiple or mixed races).
  - Two or more races: Use this code when the state data system allows multiple race selection and more than one race is indicated.

Value	Label	Frequency	%
1	American Indian/Alaska Native	71,776	1.3%
2	Asian	74,812	1.3%
3	Black or African American	1,058,485	18.7%
4	Native Hawaiian or Other Pacific Islander	15,545	0.3%
5	White	3,469,287	61.3%
6	Some other race alone/two or more races	480,793	8.5%
-9	Missing/unknown/not collected/invalid	486,052	8.6%
	Total	5,656,750	100%

#### **GENDER: Sex**

Identifies the client's most recent reported sex at the end of the reporting period.

Value	Label	Frequency	%
1	Male	2,726,456	48.2%
2	Female	2,901,222	51.3%
-9	Missing/unknown/not collected/invalid	29,072	0.5%
	Total	5,656,750	100%

## SPHSERVICE: State psychiatric hospital services

This field identifies whether a client received services from any SMHA-funded and SMHA-operated organizations operated as hospitals that provide primarily inpatient care to persons with mental illnesses from a specific geographical area and/or statewide.

Value	Label	Frequency	%
1	Served in a state psychiatric hospital	126,645	2.2%
2	Not served in a state psychiatric hospital	5,530,105	97.8%
	Total	5,656,750	100%

### CMPSERVICE: SMHA-funded/operated community-based program

This field identifies whether a client received services from any Community Mental Health Centers (CMHCs), outpatient clinics, partial care organizations, partial hospitalization programs, Program of Assertive Community Treatment (PACT) programs, consumer run programs (including Club Houses and drop-in centers), and all community support programs (CSP).

Value	Label	Frequency	%
1	Served in SMHA-funded/operated community-based program	5,500,239	97.2%
2	Not served in SMHA-funded/operated community-based program	156,511	2.8%
	Total	5,656,750	100%

## **OPISERVICE: Other psychiatric inpatient**

This field identifies whether a client received services from a private provider or medical provider licensed and/or contracted through the SMHA.

Value	Label	Frequency	%
1	Served in 'other psychiatric inpatient center'	236,651	4.2%
2	Not served in 'other psychiatric inpatient center'	5,420,099	95.8%
	Total	5,656,750	100%

## **RTCSERVICE:** Residential treatment center

This field identifies whether a client received services from an organization not licensed as a psychiatric hospital, the primary purpose of which is the provision of individually planned programs of mental health treatment services in conjunction with residential care for children and youth, and in some cases, adult care.

Value	Label	Frequency	%
1	Served in a residential treatment center	56,502	1.0%
2	Not served in a residential treatment center	5,600,248	99.0%
	Total	5,656,750	100%

## IJSSERVICE: Institutions under the justice system

This field identifies whether a client received mental health services in a jail, prison, juvenile detention center, etc.

Value	Label	Frequency	%
1	Served by an institution under the justice system	69,043	1.2%
2	Not served by any institution under the justice system	5,587,707	98.8%
	Total	5,656,750	100%

## MH1: Mental health diagnosis one

Specifies the client's current first mental health diagnosis during the reporting period.

Value	Label	Frequency	%
1	Trauma- and stressor-related disorders	636,635	11.3%
2	Anxiety disorders	462,756	8.2%
3	Attention deficit/hyperactivity disorder (ADHD)	390,349	6.9%
4	Conduct disorders	100,464	1.8%
5	Delirium, dementia	15,493	0.3%
6	Bipolar disorders	656,382	11.6%
7	Depressive disorders	1,212,220	21.4%
8	Oppositional defiant disorders	96,293	1.7%
9	Pervasive developmental disorders	68,832	1.2%
10	Personality disorders	37,146	0.7%
11	Schizophrenia or other psychotic disorders	575,074	10.2%
12	Alcohol or substance use disorders	111,948	2.0%
13	Other disorders/conditions	279,077	4.9%
-9	Missing/unknown/not collected/invalid/no or deferred diagnosis	1,014,081	17.9%
	Total	5,656,750	100%

## MH2: Mental health diagnosis two

Specifies the client's current second mental health diagnosis during the reporting period.

Value	Label	Frequency	%
1	Trauma- and stressor-related disorders	203,814	3.6%
2	Anxiety disorders	324,132	5.7%
3	Attention deficit/hyperactivity disorder (ADHD)	138,880	2.5%
4	Conduct disorders	32,003	0.6%
5	Delirium, dementia	8,374	0.1%
6	Bipolar disorders	73,493	1.3%
7	Depressive disorders	185,918	3.3%
8	Oppositional defiant disorders	58,915	1.0%
9	Pervasive developmental disorders	20,266	0.4%
10	Personality disorders	76,555	1.4%
11	Schizophrenia or other psychotic disorders	39,863	0.7%
12	Alcohol or substance use disorders	106,447	1.9%
13	Other disorders/conditions	410,748	7.3%
-9	Missing/unknown/not collected/invalid/no or deferred diagnosis	3,977,342	70.3%
	Total	5,656,750	100%

## MH3: Mental health diagnosis three

Specifies the client's current third mental health diagnosis during the reporting period.

Value	Label	Frequency	%
1	Trauma- and stressor-related disorders	38,188	0.7%
2	Anxiety disorders	51,075	0.9%
3	Attention deficit/hyperactivity disorder (ADHD)	31,686	0.6%
4	Conduct disorders	6,782	0.1%
5	Delirium, dementia	2,378	0.0%
6	Bipolar disorders	17,933	0.3%
7	Depressive disorders	31,719	0.6%
8	Oppositional defiant disorders	13,433	0.2%
9	Pervasive developmental disorders	5,868	0.1%
10	Personality disorders	33,368	0.6%
11	Schizophrenia or other psychotic disorders	7,896	0.1%
12	Alcohol or substance use disorders	16,555	0.3%
13	Other disorders/conditions	159,999	2.8%
-9	Missing/unknown/not collected/invalid/no or deferred diagnosis	5,239,870	92.6%
	Total	5,656,750	100%

## **SUB: Substance use diagnosis**

Specifies the client's substance use diagnosis that is current during the reporting period. The state should report the most recent substance use diagnosis.

Value	Label	Frequency	%
1	Alcohol-induced disorder	11,500	0.2%
2	Alcohol intoxication	4,886	0.1%
3	Substance-induced disorder	25,199	0.4%
4	Alcohol dependence	114,934	2.0%
5	Cocaine dependence	22,130	0.4%
6	Cannabis dependence	57,234	1.0%
7	Opioid dependence	56,889	1.0%
8	Other substance dependence	141,514	2.5%
9	Alcohol abuse	61,739	1.1%
10	Cocaine abuse	12,260	0.2%
11	Cannabis abuse	72,920	1.3%
12	Opioid abuse	10,079	0.2%
13	Other substance related conditions	63,377	1.1%
-9	Missing/unknown/not collected/invalid/no or deferred diagnosis	5,002,089	88.4%
	Total	5,656,750	100%

#### **MARSTAT: Marital status**

Identifies the client's marital status.

- Never married: Includes clients who are single or whose only marriage was annulled.
- Now married: Includes married couples, those living together as married, living with partners, or cohabitating.
- Separated: Includes those legally separated or otherwise absent from spouse because of marital discord.
- Divorced, widowed.

Value	Label	Frequency	%
1	Never married	2,045,993	36.2%
2	Now married	361,180	6.4%
3	Separated	152,492	2.7%
4	Divorced, widowed	420,594	7.4%
-9	Missing/unknown/not collected/invalid	2,676,491	47.3%
	Total	5,656,750	100%

#### SMISED: SMI/SED status

Indicates whether the client has serious mental illness (SMI) or serious emotional disturbance (SED) using the state definition. Use the most recent available status at the end of the reporting period.

Value	Label	Frequency	%
1	SMI	2,682,539	47.4%
2	SED and/or at risk for SED	1,044,219	18.5%
3	Not SMI/SED	1,573,935	27.8%
-9	Missing/unknown/not collected/invalid	356,057	6.3%
	Total	5,656,750	100%

## SAP: Substance use problem

Specifies the client's substance use problem based on a substance use diagnosis and/or using other identification method such as substance use screening results, enrollment in a substance use program, substance use survey, service claims information, or other related sources of data.

Value	Label	Frequency	%
1	Yes	862,263	15.2%
2	No	3,977,566	70.3%
-9	Missing/unknown/not collected/invalid	816,921	14.4%
	Total	5,656,750	100%

## EMPLOY: Competitive employment status (aged 16 years and older) at discharge or end of the reporting period

Specifies the client's employment status at discharge (for new clients) or the most recent available employment status at the end of the reporting period (for continuing clients). This data element is reported for all clients (16 years old and over) who are receiving services in non-institutional setting. Institutional settings include correctional facilities like prison, jail, detention centers, and mental health care facilities like state hospitals, other psychiatric inpatient facilities, nursing homes, or other institutions that keep a person, otherwise able, from entering the labor force.

- Full-time: Use state definition for full time employment; includes members of the Armed Forces, and clients in full-time Supported Employment.
- Part-time: Use state definition for part time; includes clients in part-time Supported Employment.
- Employed full-time/part-time not differentiated (temporary code).
- Unemployed: Defined as actively looking for work or laid off from job (and awaiting to be recalled) in the past 30 days.
- Not in labor force: Defined as not employed and not actively looking for work during the past 30 days (i.e. people not interested to work or people who have been discouraged to look for work).

Value	Label	Frequency	%
1	Full-time	212,583	3.8%
2	Part-time Part-time	136,561	2.4%
3	Employed full-time/part-time not differentiated	64,868	1.1%
4	Unemployed	506,648	9.0%
5	Not in labor force	1,063,641	18.8%
-9	Missing/unknown/not collected/invalid	3,672,449	64.9%
	Total	5,656,750	100%

## **DETNLF: Detailed 'not in labor force' category**

This field gives more detailed information about those clients who are coded as 'not in labor force' for employment status (EMPLOY).

Value	Label	Frequency	%
1	Retired, disabled	480,419	8.5%
2	Student	160,050	2.8%
3	Homemaker	48,076	0.8%
4	Sheltered/non-competitive employment	9,592	0.2%
5	Other	365,504	6.5%
-9	Missing/unknown/not collected/invalid	4,593,109	81.2%
	Total	5,656,750	100%

#### **VETERAN: Veteran status**

This field specifies whether the client has served in the uniform services (Army, Navy, Air Force, Marine Corps, Coast Guard, Public Health Service Commissioned Corps, Coast and Geodetic Survey, etc..

Guidelines: A veteran is a person 16 years or over who has served (even for a short time), but is not now serving, on active duty in the U.S. Army, Navy, Air Force, Marine Corps, Coast Guard, or Commissioner Corps of the U.S. Public Health Service or the National Oceanic and Atmospheric Administration, or who served as a Merchant Marine seaman during World War II. Individuals who served in the National Guard or Military Reserves are classified as veterans only if they have ever been called or ordered to active duty (excluding the 4-6 months of initial training and yearly summer camps).

Value	Label	Frequency	%
-9	Missing/unknown/not collected/invalid	5,656,750	100%
	Total	5,656,750	100%

### LIVARAG: Residential status - at discharge or end of reporting period

Specifies client's residential status at time of discharge or end of reporting period (continuing clients).

- Homeless: Person has no fixed address; includes homeless, shelters.
- Private residence: Includes independent living adults, dependent living adults (adult clients living in a house, apartment, or other similar dwellings and are heavily dependent on others for daily living assistance), and children living in a private residence, regardless of living arrangement.
- Other: Clients living in a foster home or a care center, residential care facility, crisis residence, institutional care facility, jail and/or correctional facility, or other residential status.

Value	Label	Frequency	%
1	Homeless	115,064	2.0%
2	Private residence	2,643,459	46.7%
3	Other	402,412	7.1%
-9	Missing/unknown/not collected/invalid	2,495,815	44.1%
	Total	5,656,750	100%

## **NUMMHS: Number of mental health diagnoses reported**

Calculates the number of valid mental health diagnoses (maximum of three) that are reported for each client. For instance, the value of this variable will be 3 if nonmissing values are provided for all three mental health diagnoses (MH1, MH2, and MH3).

Value	Label	Frequency	%
0	0	1,014,081	17.9%
1	1	2,963,234	52.4%
2	2	1,262,582	22.3%
3	3	416,853	7.4%
	Total	5,656,750	100%

## TRAUSTREFLG: Trauma- or stressor-related disorder reported

Flag records if a trauma- or stressor-related disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	4,778,113	84.5%
1	Disorder reported	878,637	15.5%
	Total	5,656,750	100%

## **ANXIETYFLG: Anxiety disorder reported**

Flag records if an anxiety disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	4,818,787	85.2%
1	Disorder reported	837,963	14.8%
	Total	5,656,750	100%

## ADHDFLG: Attention deficit/hyperactivity disorder reported

Flag records if attention deficit/hyperactivity disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	5,095,835	90.1%
1	Disorder reported	560,915	9.9%
	Total	5,656,750	100%

## **CONDUCTFLG: Conduct disorder reported**

Flag records if a conduct disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	5,517,501	97.5%
1	Disorder reported	139,249	2.5%
	Total	5,656,750	100%

## **DELIRDEMFLG: Delirium/dementia disorder reported**

Flag records if delirium/dementia disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	5,630,505	99.5%
1	Disorder reported	26,245	0.5%
	Total	5,656,750	100%

## **BIPOLARFLG: Bipolar disorder reported**

Flag records if a bipolar disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	4,908,942	86.8%
1	Disorder reported	747,808	13.2%
	Total	5,656,750	100%

## **DEPRESSFLG: Depressive disorder reported**

Flag records if a depressive disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	4,226,893	74.7%
1	Disorder reported	1,429,857	25.3%
	Total	5,656,750	100%

## **ODDFLG: Oppositional defiant disorder reported**

Flag records if oppositional defiant disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	5,488,109	97.0%
1	Disorder reported	168,641	3.0%
	Total	5,656,750	100%

## PDDFLG: Pervasive developmental disorder reported

Flag records if a pervasive developmental disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	5,561,784	98.3%
1	Disorder reported	94,966	1.7%
	Total	5,656,750	100%

## PERSONFLG: Personality disorder reported

Flag records if a personality disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	5,509,681	97.4%
1	Disorder reported	147,069	2.6%
	Total	5,656,750	100%

# SCHIZOFLG: Schizophrenia or other psychotic disorder reported

Flag records if schizophrenia or other psychotic disorders were reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	5,033,917	89.0%
1	Disorder reported	622,833	11.0%
	Total	5,656,750	100%

#### ALCSUBFLG: Alcohol or substance-related disorder reported

Flag records if an alcohol or substance use disorder was reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	5,421,800	95.8%
1	Disorder reported	234,950	4.2%
	Total	5,656,750	100%

## OTHERDISFLG: Other mental disorder reported

Flag records if other mental disorders were reported in the first, second, or third mental health diagnosis field.

Value	Label	Frequency	%
0	Disorder not reported	4,807,052	85.0%
1	Disorder reported	849,698	15.0%
	Total	5,656,750	100%

## STATEFIP: Reporting state code

Identifies the reporting state.

Value	Label	Frequency	%
1	Alabama	104,320	1.8%
4	Arizona	187,972	3.3%
5	Arkansas	74,458	1.3%
6	California	647,328	11.4%
8	Colorado	124,011	2.2%
9	Connecticut	94,541	1.7%
10	Delaware	7,456	0.1%
11	District of Columbia	35,028	0.6%
12	Florida	214,257	3.8%
15	Hawaii	10,117	0.2%
16	Idaho	15,320	0.3%
17	Illinois	78,703	1.4%
18	Indiana	135,384	2.4%
19	lowa	115,628	2.0%
21	Kentucky	155,014	2.7%
22	Louisiana	34,508	0.6%
24	Maryland	202,972	3.6%
25	Massachusetts	33,921	0.6%
27	Minnesota	259,024	4.6%
28	Mississippi	83,432	1.5%
29	Missouri	76,268	1.3%
30	Montana	38,476	0.7%
31	Nebraska	19,739	0.3%
32	Nevada	23,945	0.4%
34	New Jersey	323,392	5.7%
35	New Mexico	131,220	2.3%
36	New York	56,968	1.0%
37	North Carolina	138,079	2.4%
38	North Dakota	14,842	0.3%
39	Ohio	430,145	7.6%
40	Oklahoma	92,310	1.6%
41	Oregon	126,319	2.2%
42	Pennsylvania	543,618	9.6%
44	Rhode Island	31,643	0.6%
45	South Carolina	85,254	1.5%
46	South Dakota	16,055	0.3%
47	Tennessee	118,609	2.1%
48	Texas	368,722	6.5%
49	Utah	53,708	0.9%
50	Vermont	31,244	0.6%

## STATEFIP: Reporting state code

Identifies the reporting state.

Value	Label	Frequency	%
51	Virginia	117,415	2.1%
53	Washington	92,176	1.6%
55	Wisconsin	77,345	1.4%
56	Wyoming	17,921	0.3%
72	Puerto Rico	17,567	0.3%
99	Other jurisdictions	376	0.0%
	Total	5,656,750	100%

#### **DIVISION: Census division**

Census divisions are groupings of states that are subdivisions of the four Census regions. There are nine divisions, which the Census Bureau adopted in 1910 for the presentation of data. Also included are other jurisdictions as a tenth category. The divisions, and the states and other jurisdictions included in them, are:

- Other jurisdictions: Puerto Rico, Republic of Palau, and the Commonwealth of the Northern Mariana Islands.
- New England: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont.
- Middle Atlantic: New Jersey, New York, and Pennsylvania.
- East North Central: Illinois, Indiana, Michigan, Ohio, and Wisconsin.
- · West North Central: Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, and South Dakota.
- South Atlantic: Delaware, District of Columbia, Florida, Georgia, Maryland, North Carolina, South Carolina, Virginia, and West Virginia.
- East South Central: Alabama, Kentucky, Mississippi, and Tennessee.
- West South Central: Arkansas, Louisiana, Oklahoma, and Texas.
- Mountain: Arizona, Colorado, Idaho, Montana, Nevada, New Mexico, Utah, and Wyoming.
- Pacific: Alaska, California, Hawaii, Oregon, and Washington.

Value	Label	Frequency	%
0	Other jurisdictions	17,943	0.3%
1	New England	191,349	3.4%
2	Middle Atlantic	923,978	16.3%
3	East North Central	721,577	12.8%
4	West North Central	501,556	8.9%
5	South Atlantic	800,461	14.2%
6	East South Central	461,375	8.2%
7	West South Central	569,998	10.1%
8	Mountain	592,573	10.5%
9	Pacific	875,940	15.5%
	Total	5,656,750	100%

#### **REGION: Census region**

Geographic regions are based on divisions used by the U.S. Census Bureau, with the addition of other jurisdictions, which are not included in any Census region:

- Other jurisdictions: Puerto Rico, Republic of Palau, and the Commonwealth of the Northern Mariana Islands.
- Northeast: New England Division (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont) and Middle Atlantic Division (New Jersey, New York, Pennsylvania).
- Midwest: East North Central Division (Illinois, Indiana, Michigan, Ohio, Wisconsin) and West North Central Division (Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, South Dakota).
- South: South Atlantic Division (Delaware, District of Columbia, Florida, Georgia, Maryland, North Carolina, South Carolina, Virginia, West Virginia), East South Central Division (Alabama, Kentucky, Mississippi, Tennessee), and West South Central Division (Arkansas, Louisiana, Oklahoma, Texas).
- West: Mountain Division (Arizona, Colorado, Idaho, Montana, Nevada, New Mexico, Utah, Wyoming) and Pacific Division (Alaska, California, Hawaii, Oregon, Washington).

Value	Label	Frequency	%
0	Other jurisdictions	17,943	0.3%
1	Northeast	1,115,327	19.7%
2	Midwest	1,223,133	21.6%
3	South	1,831,834	32.4%
4	West	1,468,513	26.0%
	Total	5,656,750	100%



## Appendix A. MH-CLD Variable Information (Alphabetical Order)

Variable	Туре	Length	Label	
ADHDFLG	Numeric	8	Attention deficit/hyperactivity disorder reported	
AGE	Numeric	8	Age (recoded)	
ALCSUBFLG	Numeric	8	Alcohol or substance-related disorder reported	
ANXIETYFLG	Numeric	8	Anxiety disorder reported	
BIPOLARFLG	Numeric	8	Bipolar disorder reported	
CASEID	Numeric	8	Case identification number	
CMPSERVICE	Numeric	8	SMHA-funded/operated community-based program	
CONDUCTFLG	Numeric	8	Conduct disorder reported	
DELIRDEMFLG	Numeric	8	Delirium/dementia disorder reported	
DEPRESSFLG	Numeric	8	Depressive disorder reported	
DETNLF	Numeric	8	Detailed 'not in labor force' category	
DIVISION	Numeric	8	Census division	
EDUC	Numeric	8	Education	
EMPLOY	Numeric	8	Competitive employment status (aged 16 years and older) at discharge or end of the reporting period	
ETHNIC	Numeric	8	Hispanic or Latino origin (ethnicity)	
GENDER	Numeric	8	Sex	
IJSSERVICE	Numeric	8	Institutions under the justice system	
LIVARAG	Numeric	8	Residential status — at discharge or end of reporting period	
MARSTAT	Numeric	8	Marital status	
MH1	Numeric	8	Mental health diagnosis one	
MH2	Numeric	8	Mental health diagnosis two	
мнз	Numeric	8	Mental health diagnosis three	
NUMMHS	Numeric	8	Number of mental health diagnoses reported	
ODDFLG	Numeric	8	Oppositional defiant disorder reported	
OPISERVICE	Numeric	8	Other psychiatric inpatient	
OTHERDISFLG	Numeric	8	Other mental disorder reported	
PDDFLG	Numeric	8	Pervasive developmental disorder reported	
PERSONFLG	Numeric	8	Personality disorder reported	
RACE	Numeric	8	Race	
REGION	Numeric	8	Census region	
RTCSERVICE	Numeric	8	Residential treatment center	
SAP	Numeric	8	Substance use problem	
SCHIZOFLG	Numeric	8	Schizophrenia or other psychotic disorder reported	
SMISED	Numeric	8	SMI/SED status	
SPHSERVICE	Numeric	8	State psychiatric hospital services	

Variable	Туре	Length	Label
STATEFIP	Numeric	8	Reporting state code
SUB	Numeric	8	Substance use diagnosis
TRAUSTREFLG Numeric 8 Trauma- and stressor-		Trauma- and stressor-related disorder reported	
VETERAN	Numeric	8	Veteran status
YEAR	Numeric	8	Reporting period

# Appendix B. Variable Recode Table

Variable	Original codes	Recodes
AGE	Continuous (0-85)	1 0-11 years old
Age at midpoint of the		2 12–14 years old
state's elected		3 15-17 years old
reporting period.		4 18–20 years old
		5 21–24 years old
		6 25–29 years old
		7 30-34 years old
		8 35-39 years old
		9 40-44 years old
		10 45-49 years old
		11 50-54 years old
		12 55-59 years old
		13 60-64 years old
		14 65 years and older
ETHNIC	1 Hispanic origin	1 Mexican
Ethnicity (Hispanic origin)	11 Puerto Rican	2 Puerto Rican
	12 Mexican	3 Other Hispanic or Latino origin
	13 Cuban	4 Not of Hispanic or Latino origin
	14 Other specific Hispanic	
	2 Not of Hispanic origin	
MARSTAT	1 Never married	1 Never married
Marital status	2 Married/Living as a couple	2 Now married
	3 Separated	3 Separated
	4 Divorced	4 Divorced, widowed
	5 Widowed	

Variable		Original codes		Recodes		
EDUC	0	No years of schooling	1	Special education		
Education	1	Grade 1	2	8 years or less		
	2	Grade 2	3	9–11 years		
	3	Grade 3	4	12 years (or GED)		
	4	Grade 4	5	13 years or more		
	5	Grade 5				
	6	Grade 6				
	7	Grade 7				
	8	Grade 8				
	9	Grade 9				
	10	Grade 10				
	11	Grade 11				
	12	Grade 12				
	13	Nursery school				
	14	Kindergarten				
	15	Self-contained special education class				
	16	Vocational school				
	17	College undergraduate freshman				
	18	College undergraduate sophomore				
	19	College undergraduate junior				
	20	College undergraduate senior				
	21	Graduate or professional school				
DETNLF	14	Homemaker	1	Retired, disabled		
Detailed 'not in labor force'	24	Student	2	Student		
	34	Retired	3	Homemaker		
	44	Disabled	4	Sheltered/non-competitive		
	64	Other reported classification		employment		
	74		5	Other		
	84	Not in labor force, classification not specified				
EMPLOY	1	Full-time	1	Full-time		
Competitive employment	2	Part-time	2	Part-time		
status	3	Unemployed	3	Employed full-time/part-time not		
(aged 16 years or older) – at discharge or end of the	5	Employed full-time/part-time not differentiated	4	differentiated Unemployed		
reporting period	14	Homemaker	5	Not in labor force		
	24	Student				
	34	Retired				
	44	Disabled				
	64	Other reported classification				
		Sheltered/non-competitive employment				
	84	Not in labor force, classification not specified				

Variable		Original codes		Recodes
LIVARAG	1	Homeless	1	Homeless
Residential status – at	2	Foster home/foster care	2	Private residence Other
discharge or end of	3	Residential care	3	
reporting period	4	Crisis residence		
	5	Institutional setting		
	6	Jail/correctional facility/other institutions under justice system		
	7	Private residence		
	17	Independent living		
	27	Dependent living		
	37	Private residence (for children use only)		
	8	Other residential status		
RACE	2	American Indian and Alaska	1	American Indian/Alaska Native
		Native	2	Asian
	3	Asian or Pacific Islander	3 4	Black or African American Native Hawaiian or Other Pacific
	13	Asian		
	23	Native Hawaiian or Other Pacific		Islander
		Islander	5	White
	4	Black or African American	6	Some other race alone/two or
	5	White		more races
	20	Some other race alone		
	21	Two or more races		
	22	Hispanic		

#### **Appendix C. Technical Notes**

The MH-CLD public use file contains several variables created by combining or recoding original variables submitted by states. The following notes describe how these variables are created or recoded.

Flag variables for mental health diagnoses:

- If first, second, or third mental health diagnosis is 1 *trauma- or stressor-related disorder*, then trauma- or stressor-related disorder flag is 1;
- if first, second, or third mental health diagnosis is 2 *anxiety disorder*, then anxiety disorder flag is 1;
- if first, second, or third mental health diagnosis is 3 *attention deficit/hyperactivity disorder*, then attention deficit/hyperactivity disorder flag is 1;
- if first, second, or third mental health diagnosis is 4 *conduct disorder*, then conduct disorder flag is 1;
- if first, second, or third mental health diagnosis is 5 *delirium/dementia disorder*, then delirium/dementia disorder flag is 1;
- if first, second, or third mental health diagnosis is 6 *bipolar disorder*, then bipolar disorder flag is 1;
- if first, second, or third mental health diagnosis is 7 *depressive disorder*, then depressive disorder flag is 1;
- if first, second, or third mental health diagnosis is 8 *oppositional defiant disorder*, then oppositional defiant disorder flag is 1;
- if first, second, or third mental health diagnosis is 9 *pervasive developmental disorder*, then pervasive developmental disorder flag is 1;
- if first, second, or third mental health diagnosis is 10 *personality disorder*, then personality disorder flag is 1;
- if first, second, or third mental health diagnosis is 11 *schizophrenia or other psychotic disorder*, then schizophrenia or other psychotic disorder flag is 1;
- if first, second, or third mental health diagnosis is 12 *alcohol or substance use disorder*, then alcohol or substance use disorder flag is 1;
- if first, second, or third mental health diagnosis is 13 *other mental disorder*, then other mental disorder flag is 1.