# Alcohol and Drug Services Study (ADSS), 1996-1999: [United States]

United States Department of Health and Human Services. Substance Abuse and Mental Health Services Administration. Office of Applied Studies

Questionnaire for Phase II Early Dropout Abstract

## Terms of Use

The terms of use for this study can be found at: http://datafiles.samhsa.gov/terms-use-nid3422

OMB #: 0930-180 Exp. Date: 04/30/2000

FACILITY ID LABEL:

STUDY ID #: |\_\_|

## ALCOHOL AND DRUG SERVICES STUDY (ADSS) CLIENT RECORD ABSTRACT

WESTAT, INC. BRANDEIS UNIVERSITY SAMHSA

## NOTIFICATION TO RESPONDENT OF ESTIMATED BURDEN

NOTE: This form is completed by contractor staff and imposes no direct public burden.

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Date of discharge (from sampling frame) OR sample date (In-treatment methadone clients):	<ul> <li>Client type:</li> <li>Nonmethadone discharge (complete all items)</li> <li>Methadone discharge (For length of stay, complete: 1-6A; For demographics, complete 16 items: 9-12, 14, 15, 16, 23, 24, 27, 31, 36, 41, 42, 49, and 53. For treatment services, complete items 69-72.)</li> <li>In-treatment methadone (complete all items, follow skip instructions in Box B)</li> </ul>			
MO DA YR MINUTES	Date of discharge (from san	npling frame) <u>OR</u> sample date	e (In-treatment methad	
Abstract Status:     Transmittal No.:	Abstractor:	· · · · · · · · · · · · ·	-   DA YR	· · · · · · · · · · · · · · · · · · ·
	Abstract S	Status:	Transmittal N	0.:
0 = Ineligible 2 = Partial complete	0 = Ineligible	2 = Partial complete		
1 = Complete 3 = No record available	1 = Complete	3 = No record available		

#### USE BOX BELOW ONLY FOR RECORDS THAT ARE RE-ABSTRACTED FOR QUALITY CONTROL

Re-abstracted:	Quality control abstract (check here):
1 = Yes	
(leave blank if not re-abstracted)	

Notice: The information entered on this form will be handled in the strictest confidence and will not be released to unauthorized personnel. The confidentiality of this information is protected by Section 301(d) of the Public Health Service Act, (42 U.S.C. 241 (d)). This research is authorized under Section 505 of the Public Health Service Act (42 U.S.C. 290aa-4).

## DEMOGRAPHIC AND BACKGROUND INFORMATION

1.	Client record number:
	6-6 = Not permitted to abstract 9-9 = Unknown/not mentioned
*2.	Date of admission: (9-9 = Unknown/not mentioned) MO DA YR
3.	Date treatment began: (9-9 = Unknown/not mentioned) MO DA YR
4.	Date of last treatment (9-9 = Unknown/not mentioned): MO DA YR
*5.	Date of discharge:
*6.	Type of care for this discharge:
	<ul> <li>1 = Non-hospital residential</li> <li>2 = Outpatient</li> <li>3 = Other (If combinations, specify type and order)</li></ul>
	6a. Treatment client received: (0 = No, 1 = Yes, 9 = Unknown/not mentioned)
	Detoxification
	Rehabilitation (drug-free)
	Methadone
*7.	Client stayed overnight at this facility for this treatment:
	0 = No 1 = Yes 9 = Unknown/not mentioned
8.	Date of first treatment plan:
	MO DA YR 0-0 = No treatment plan 9-9 = Unknown/not mentioned

9.	Primary source of referral for this treatment:	
	01 = Other treatment facility 02 = Criminal justice system 03 = Self-referred/voluntary 04 = Family 05 = Friend	06 = Employer 07 = Health care or mental health providers 08 = Welfare office or other social service agencies 88 = Other (Specify) 99 = Unknown/not mentioned
10.	Primary source of payment for this treatment:	
	<ul> <li>01 = No payment</li> <li>02 = Client self payment</li> <li>03 = Private health insurance, fee-for-service</li> <li>04 = Private health insurance, HMO/PPO/Managed Care</li> <li>05 = Criminal justice system</li> </ul>	06 = Medicaid 07 = Medicare 66 = Not permitted to abstract 88 = Other (Specify) 99 = Unknown
11.	Is client receiving SSI benefits?	
	0 = No 1 = Yes	9 = Unknown/not mentioned
12.	Date of birth: (9-9 = Unknown/not mentioned)	<u>   - -   - - </u> MO DA YR
13.	Age at admission (in yrs): (99 = Unknown/not mentio	ned)   _  YRS
14.	Sex:	
	1 = Male 2 = Female	9 = Unknown/not mentioned
15.	Race:	
	1 = White 2 = Black 3 = American Indian or Alaskan Native	4 = Asian or Pacific Islander 8 = Other (Specify) 9 = Unknown/not mentioned
16.	Ethnicity:	
	1 = Hispanic 2 = Not of Hispanic origin	9 = Unknown/not mentioned

17.	Marital status at admission:	
	0 = Never married 1 = Married/common law 2 = Widowed 3 = Separated/divorced	4 = Single 8 = Other (Specify) 9 = Unknown/not mentioned
18.	Have child/children at admission:	
	0 = No 1 = Yes	9 = Unknown/not mentioned
19.	Living with their child/children at admission:	
	0 = No 1 = Yes	9 = Unknown/not mentioned
20.	Living arrangement at admission:	
	<ul> <li>00 = No stable arrangement (Include homeless, shelters)</li> <li>01 = With spouse/partner</li> <li>02 = With parent(s)</li> <li>03 = With other family</li> <li>04 = With friends</li> </ul>	05 = Alone 06 = With no other adult(s)/children only 07 = Correctional facility 08 = Other institution/closed facility 88 = Other (Specify) 99 = Unknown/not mentioned
21.	Education at admission:	
	1 = Less than 8 years 2 = 8-11 years 3 = Less than H.S. graduate, not otherwise specified 4 = H.S. graduate/GED 5 = Some college	6 = College graduate 7 = Postgraduate 8 = Other (Specify) 9 = Unknown/not mentioned
22.	Student at admission:	
	0 = No 1 = Yes	9 = Unknown/not mentioned
23.	Employment at admission:	
	<ul> <li>01 = Full-time (35 hrs/wk or more)</li> <li>02 = Part-time (Less than 35 hrs/wk)</li> <li>03 = Employed, not otherwise specified</li> <li>04 = Keeping house, not otherwise employed</li> <li>05 = Retired</li> </ul>	06 = Disabled 07 = Inmate 08 = Unemployed 88 = Other (Specify) 99 = Unknown/not mentioned

24. Usual (or last) occupation:

(Specify)

## BOX A

IF CLIENT TYPE ON FRONT COVER IS METHADONE DISCHARGE, SKIP TO ITEM 27 AND COMPLETE ITEMS 31, 36, 41, 42, 49, 53, AND 69-72. THEN STOP ABSTRACTING. OTHERWISE CONTINUE.

## **CRIMINAL JUSTICE SYSTEM INFORMATION**

25.	DWI/DUI arrests prior to admission:	·······	
	0 = None 1 = Yes	6 = Not permitted to abstract 9 = Unknown/not mentioned	
26.	Other arrests prior to admission:		
	0 = None 1 = Yes	6 = Not permitted to abstract 9 = Unknown/not mentioned	
27.	Prison or jail record prior to admission:		
	0 = No 1 = Yes	6 = Not permitted to abstract 9 = Unknown/not mentioned	
28.	Substance abuse treatment as a condition of probation	or parole or court order:	
	0 = No 1 = Yes	6 = Not permitted to abstract 9 = Unknown/not mentioned	
MEDICAL INFORMATION			

29.	Number of medical hospitalizations (during the 12 mon	ths prior to admission):
		NUMBER
	00 = None	99 = Unknown/not mentioned

30. Medical conditions prior to admission or during treatment:

> (For each condition listed below, code: 0 = No, 1 = Yes, 6 = Not permitted to abstract, 9 = Unknown/not mentioned)

30a.	AIDS or HIV seropositive	30f.	TB, not otherwise specified
30b.	STD (other than AIDS)	30g.	Heart disease
30c.	Hepatitis or jaundice	30h.	High blood pressure
30d.	Positive TB test	30i.	Liver disease
30e.	Active TB	30j.	Convulsions

History of psychological disorder(s) prior to admission (other than drug/alcohol related problems): ..... |\_\_| 31.

0 = No	9 = Unknown/not mentioned
1 = Yes	

32. 

0 = No	3 = Yes, both
1 = Yes, antidepressant	9 = Unknown/not mentioned
2 = Yes, antipsychotic	

33. Psychological disorder(s) at admission or during treatment: (For each condition listed below, code: 0 = No, 1 = Yes, 9 = Unknown/not mentioned)

33a.	Depression	33d.	Panic disorder
33b.	Schizophrenia	33e.	Manic depressive illness (bipolar)
33c.	Anxiety disorder	33f.	Mental disorder, not otherwise specified

Total number of residential and/or inpatient mental health admissions prior to admission ...... |\_|\_| 34. NUMBER

00 = None

99 = Unknown/not mentioned

35.	Total number of residential and/or hospital inpatient m	ental health admissions during the 12	
	months prior to admission		
			NUMBER
	00 = None	99 = Unknown/not mentioned	

36.	Pregnancy status at admission:	
	+ = Not applicable, client is male 0 = Not pregnant	1 = Pregnant 9 = Unknown/not mentioned
37.	Pregnancy status during treatment:	
	+ = Not applicable, client is male 0 = Not pregnant	1 = Pregnant 9 = Unknown/not mentioned
38.	Presenting substance abuse problem at admission:	·······
	<ol> <li>1 = Drug abuse only (excluding alcohol)</li> <li>2 = Alcohol abuse only</li> <li>3 = Alcohol <u>and</u> drug abuse</li> </ol>	8 = Other (Specify) 9 = Unknown/not mentioned

All diagnoses at admission (Specify each diagnosis verbatim, and enter diagnosis code and coding 39. scheme):

39a.	Primary diagnosis	DIAGNOSTIC CODE	CODING SCHEME
39b.	Other diagnosis		
39c.	Other diagnosis		
39d.	Other diagnosis		II
39e.	Other diagnosis		
		<u>CODING SCHEMES</u> 1 = DSM-III/IV 2 = ICD 9/10-CM	

- 8 = Other (Specify) \_\_\_\_\_ 9 = Unknown/not mentioned

## SUBSTANCE ABUSE HISTORY INFORMATION

		EVER USED	USED IN LAST 30 DAYS	AGE AT FIRST USE (in years)
40.	Crack (if unable to separate, combine with cocaine)			II
41.	Cocaine			II
42.	Heroin			II
43.	Non-treatment methadone			<u>  </u>
44.	Other opiates (morphine, codeine, dilaudid, demerol, percodan, or opium)			
45.	Barbiturates (Nembutal, Seconal, Tuinal, downers, or reds)			II
46.	Benzodiazepines (Librium, Valium)			II
47.	Other sedatives or hypnotics (Miltown, Equinal, Doriden, Noludar, Quaalude, or sopers)	II		
48.	Amphetamines (speed, methamphetamine, meth, stimulants, crank)			II
49.	Marijuana, hashish, THC			II
50.	Hallucinogens (LSD, PCP, psychedelics, mushrooms, mescaline, or MMDA)	II		
51.	Inhalants (glue, spray cans, gasoline, or paint thinner)			
52.	Over-the-counter (Specify)			II
53.	Alcohol			
54.	Торассо			
55.	Any other drug (Specify)			
56.	Any other drug (Specify)			

## Substance Abuse History at Admission For each substance below, code EVER USED. If ever used, complete the "used in last 30 days" column.

## EVER USED

- 0 = No/Never Used
  - (Enter + for used in last 30 days and age at first use)
- 1 = Yes (Complete rest of line)
- 9 = Unknown/not mentioned
  - (Enter 9 for used in last 30 days and age at first use))

## USED IN LAST 30 DAYS

## + = Never used

- 0 = No
- 1 = Yes
- 9 = Unknown/not mentioned

## AGE AT FIRST USE

++ = Never used

- 99 = Unknown/
  - not mentioned

57.	Substan	ce of choice specified at admission:	
	no	t applicable - Substance(s) used t specified in record substance of choice	<ul> <li>40-56 = Substance of choice (enter line number of substance of choice from Substance Abuse History at Admission Table)</li> <li>99 = Unknown/not mentioned</li> </ul>
58.	Injectior	n drug user (intravenous use):	
	58a.	Ever	
	0 = No 1 = Yes		9 = Unknown/not mentioned
	58b.	At admission	
	0 = No	applicable (Item 58a coded "0" or "9")	9 = Unknown/not mentioned
	1 = Yes	(Complete Item 58c)	
	58c.	Frequency of injection drug use at admission	
	1 = Dail 2 = Reg	applicable (Item 58b coded "0" or "9") y ularly but not daily radically	9 = Unknown/not mentioned
SUBS	TANCE A	ABUSE TESTING INFORMATION	
59.	Any sub	stance abuse testing while in treatment:	
		Leave Items 60, 61, and 62 blank) (Complete Items 60, 61, and 62)	9 = Unknown/not mentioned (Leave Items 60, 61, and 62 blank)
60.	Type(s)	of substance abuse tests conducted while in tr	eatment:

(For each type of test listed below, code: 0 = No, 1 = Yes, 9 = Unknown/not mentioned)

60a.	Urine
60b.	Serum/Blood
60c.	Other (Specify)

- 61. Frequency of substance abuse testing while in treatment: ......
  - 1 = One time ONLY
  - 2 = More than once, at regular intervals
  - 3 = More than once, randomly (time unknown to client)
  - 4 = More than once, both at regular intervals and randomly
  - 8 = Other (Specify)
  - 9 = Unknown/not mentioned
- 62. Test Results

		POSITIVE RESULTS FOR:		R:	
TIME OF TEST	DATE OF TEST	Opiates	Cocaine	THC/ Marijuana	Any other drugs, including alcohol
First test after admission	_ _ - - _ -   MO DA YR				
Last test before discharge	_ _ - - _ -   MO DA YR				

## RESULT CODES 1=Positive (leave blank if negative or not applicable)

## SUBSTANCE ABUSE TREATMENT HISTORY INFORMATION

63.	Total number of treatment episodes for any substance abuse prior to admission:	
	00 = No prior treatment episodes	99 = Unknown/not mentioned
64.	Number of years over which treatment episodes were	reported:
		$00 \qquad O^{+} = (O^{-} = e^{-\frac{1}{2}} f^{-})$

++ = Not applicable, Item 63 coded "00" or "99" 00 = Less than 6 months 55 = Lifetime 88 = Other (Specify) \_\_\_\_\_ 99 = Unknown/not mentioned

- 65. Past treatment episodes for any substance abuse in the twelve months prior to admission
  - + = Not applicable, Item 63 coded "00" or "99" (Leave table blank)
  - 0 = None (Leave table blank)
  - 1 = Yes (Complete table below)

2 = Yes, table overflow (Enter overflow in Comments)

9 = Unknown/not mentioned (Leave table blank)

	REASON FOR TREATMENT	FACILITY	TYPE OF CARE	DISCHARGE STATUS
65a.				
65b.				
65c.				
65d.				
65e.				
65f.				

## Past Substance Abuse Treatment Episodes: Twelve Months Prior to Admission

#### REASON FOR TREATMENT CODES

1 = Alcohol abuse only

2 = Drug abuse only

3 = Combined alcohol and drug abuse

9 = Unknown/not mentioned

## FACILITY CODES

1 = Here

2 = Elsewhere

9 = Unknown/not mentioned

#### TYPE OF CARE CODES

- 1 = Inpatient or residential
- 2 = Outpatient
- 9 = Unknown/not mentioned

#### **DISCHARGE STATUS CODES**

0 = Did not complete treatment

1 = Completed treatment

9 = Unknown/not mentioned

## TREATMENT SERVICES INFORMATION

\*66. Number of actual outpatient client visits: .....

0000 = Client treatment type is not outpatient 9999 = Unknown/unable to determine

67. Services During This Current Treatment. For each service below, code SERVICE GIVEN. If service given, complete the rest of the line for 67a-67d in the table.

		SERVICE GIVEN	NO. OF ENCOUNTERS (9999 = Unknown)
67a.	Methadone dosing		
67b.	Individual therapy		
67c.	Group therapy, including relapse prevention		
67d.	Family counseling		
67e.	Self-help or mutual help groups (AA, NA, etc.)		
67f.	HIV or AIDS counseling/support/education		
67g.	Employment counseling/training		
67h.	Academic education/GED classes		
67i.	Medical care		
67j.	Comprehensive assessment/diagnosis		
67k.	Detoxification from substance of abuse		
67I.	Combined substance abuse and mental health treatment		
67m.	TB screening		
67n.	TB treatment		
670.	Prenatal care		
67p.	Psychological testing		
67q.	Smoking cessation		
67r.	Acupuncture		
67s.	Outcome followup		
67t.	Aftercare		

SERVICE GIVEN CODES

0 = No

- 1 = Yes, in this facility
- 2 = Yes, sometimes here, sometimes elsewhere
- 3 = Yes, not in this facility
- 9 = Unknown/not mentioned

68. 0 = No 9 = Unknown/not mentioned 1 = Yes, list below 68a. 69. Methadone given during this treatment episode: ..... 0 = No (Leave table blank) (Skip to item 73) 9 = Unknown/not mentioned (Leave table blank) 1 = Yes (Complete table below) Methadone Treatment TOTAL DAILY DATE OF TREATMENT DOSE IN MGS. (999 = Unknown)MO DA YR 69a. First methadone treatment |\_\_\_\_\_| - |\_\_\_\_\_| - |\_\_\_\_\_| 69b. Two weeks after first methadone |\_\_\_| - |\_\_\_| - |\_\_\_| | | | | |treatment 69c. |\_\_\_\_\_-|-\_|-\_|\_\_\_|-|\_\_\_\_| One month before last methadone treatment 69d. Last methadone treatment |\_\_\_\_ - **|\_\_**\_\_ - **|\_\_**\_\_\_ 70. Methadone supply taken home during this treatment: ..... + = Not applicable, Item 69 coded "0" or "9" 1 = Yes 0 = No 9 = Unknown/not mentioned 71. Date withdrawal from methadone began: ..... |-| \_|-|\_\_| MO DA YR +-+ = Not applicable, Item 69 coded "0" or "9" 0-0 = No withdrawal from methadone 9-9 = Unknown/not mentioned 72. Date withdrawal from methadone ended: ..... \_|-|\_\_| MO DA YR +-+ = Not applicable, Item 69 coded "0" or "9" 0-0 = No withdrawal from methadone 9-9 = Unknown/not mentioned

BOX B
IF CLIENT TYPE ON FRONT COVER IS:
■ <u>NONMETHADONE DISCHARGE</u> , COMPLETE ITEMS 73-81.
IN-TREATMENT METHADONE, AND CLIENT STILL IN TREATMENT (ITEM 5 CODED "0"), SKIP TO ITEM 80.
<ul> <li><u>IN-TREATMENT METHADONE</u>, AND CLIENT DISCHARGED SINCE SAMPLE DATE, COMPLETE ITEMS 73-81.</li> </ul>

## **DISCHARGE INFORMATION**

73.	Reason for discharge:			
	00 = Client deceased (Enter date in Item 73a) 01 = Completed planned treatment	05 = Did not complete treatment by administration choice		
	02 = Did not complete treatment,	06 = Did not complete treatment by client choice		
	referred/transferred to another program	07 = Did not complete treatment, incarcerated		
03 = Did not complete treatment, insurance benefits expired		08 = Did not complete treatment, not otherwise specified		
	04 = Did not complete treatment, no payment	88 = Other (Specify)		
	source			
		99 = Unknown/not mentioned		
	73a. Date of death: (9-9 = Unknown/not mentio	ned) MO DA YR		

74. Diagnoses at discharge (specify each diagnosis verbatim, and enter diagnosis code and coding scheme):

		DIAGNOSTIC CODE	CODING SCHEME
74a.	Primary diagnosis	_  _ _ . . .	
74b.	Other diagnosis	_    .	
74c.	Other diagnosis	_    ·	
74d.	Other diagnosis	_    ·	
74e.	Other diagnosis	_	
		CODING SCHEMES 1 = DSM-III/IV 2 = ICD9/10-CM 3 = Other (Specify) 9 = Unknown/not men	tioned

75.	Substance abuse/mental illness (dual diagnosis) client at discharge (e.g., depression, schizophrenia):			
	0 = No 1 = Yes, specify mental illness below	9 = Unknown/not mentioned		
	75a	<b>L</b> I		
76.	Aftercare plan stated in record:			
	0 = No 1 = Yes	9 = Unable to determine		
77.	Services in aftercare plan:			
	<ul> <li>+ = Not applicable (Item 76 coded "0" or "9") (Leave 1</li> <li>1 = Services specified (Complete table below)</li> </ul>	table blank) 9 = Unknown/not mentioned (Leave table blank)		
	A 51			

## Aftercare Plan Services (For each service listed below, code: 0 = No, 1 = Yes, 9 = Unknown/not mentioned)

77a.	Individual therapy	II
77b.	Group therapy, not including relapse prevention	
77c.	Relapse prevention groups	
77d.	Family counseling	
77e.	Self-help or mutual-help groups (AA, NA, etc.)	
77f.	Employment counseling/training	
77g.	Legal/criminal justice system counseling/support	

- 0 = No treatment
- 1 = Hospital inpatient
- 2 = Residential
- 3 = Outpatient methadone
- 4 = Outpatient non-methadone
- 5 = Substance abuse treatment, not otherwise specified
- 8 = Other (Specify) \_
- 9 = Unknown/not mentioned

## FINANCIAL INFORMATION

79.	Number of treatment days/visits authorized:		II IUMBER UNIT
	9-9 = Unknown/not mentioned		UNIT CODES 1 = Days 2 = Weeks 3 = Months 4 = Years 5 = Visits
	79a. Authorized by:		
	<ul> <li>+ = Item 79 coded "9-9"</li> <li>1 = Managed care plan</li> <li>2 = Other third party payer</li> <li>3 = Other (Specify)</li> <li>9 = Unknown/not mentioned</li> </ul>		
*80.	*80. Total billed charges for this treatment (thus far) (in dollars):		_ _ _ _ _  DOLLARS
	0-0 = No charges (complete Item 80a) 6-6 = Not permitted to abstract	8-8 = Other (Specify) 9-9 = Unknown/not men	
	80a. Date of (last issued) bill:		_  -   _  -   _  MO DA YR
	80b. If no billed charges for this treatment, was it	a contract slot?	
	+ = Item 80 not coded "0-0" 0 = No	1 = Yes 9 = Unknown/not mentic	oned
81.	The charges recorded in Item 80 refer to:		
	0 = No charges 1 = Full amount billed 2 = Sliding fee amount 3 = Reduced amount (Specify percentage of full billed 6 = Not permitted to abstract 8 = Other (Specify) 9 = Unknown/not mentioned	d amount that was charged	):

FACILITY ID LABEL: \_\_\_\_\_

STUDY SUBJECT ID #: |\_\_|

82. Comments				
Item No.	Comments			
	l			