OMB No. 0930-0119

APPROVAL EXPIRES: 01/31/2023 See OMB burden statement on last page

# 2020 National Mental Health Services Survey (N-MHSS)

**April 30, 2020** 

Substance Abuse and Mental Health Services Administration (SAMHSA)
U.S. Department of Health and Human Services (HHS)

PLEASE REVIEW THE FACILITY INFORMATION PRINTED ABOVE.
CROSS OUT ERRORS AND ENTER CORRECT OR MISSING INFORMATION.

### CHECK ONE

- Information is complete and correct, no changes needed
- All missing or incorrect information has been corrected



### PLEASE READ THIS ENTIRE PAGE BEFORE COMPLETING THE QUESTIONNAIRE

<u>Would you prefer to complete this questionnaire online?</u> See the green flyer enclosed in your questionnaire packet for the Internet address and your unique User ID and Password. You can log on and off the survey website as often as needed to complete the questionnaire. When you log on again, the program will take you to the next unanswered question. If you need additional help or information, call the N-MHSS helpline at 1-866-778-9752.

### INSTRUCTIONS

- Most of the questions in this survey ask about "this facility." By "this facility" we mean the specific
  treatment facility or program whose name and location are printed on the front cover. If you have
  any questions about how the term "this facility" applies to your facility, please call 1-866-778-9752.
- Please answer ONLY for the specific facility or program whose name and location are printed on the front cover, unless otherwise specified in the questionnaire.
- If this is a separate inpatient psychiatric unit of a general hospital, consider the psychiatric unit as the relevant "facility" for the purpose of this survey.
- For additional information about the survey and definitions for some of the terms, please visit our website at: https://info.nmhss.org.
- Return the completed questionnaire in the envelope provided, or fax it to 1-609-799-0005. (Please reference "N-MHSS" on your fax.) Please keep a copy of your completed questionnaire for your records.
- If you have any questions or need additional blank surveys, contact:

MATHEMATICA 1-866-778-9752 NMHSS@mathematica-mpr.com

#### IMPORTANT INFORMATION

\*<u>Asterisked questions</u>. Information from asterisked (\*) questions is published in SAMHSA's online Behavioral Health Treatment Services Locator, found at <a href="https://findtreatment.samhsa.gov">https://findtreatment.samhsa.gov</a>, in SAMHSA's National Directory of Mental Health Treatment Facilities, and other publicly-available listings, unless you designate otherwise in question A32, page 10, of this questionnaire.

<u>Mapping feature in online Locator</u>. Complete and accurate name and address information is needed for SAMHSA's online Behavioral Health Treatment Services Locator so it can correctly map the facility's location.

<u>Eligibility for online Locator</u>. Only facilities that provide mental health treatment and complete this questionnaire are eligible to be listed as mental health facilities in the online Behavioral Health Treatment Services Locator. If you have any questions regarding eligibility, please contact the N-MHSS helpline at 1-866-778-9752.

## SECTION A: FACILITY CHARACTERISTICS

Section A asks about characteristics of individual facilities and should be completed for this facility only, that is, the <u>treatment facility or program</u> at the location listed on the front cover.

	offer:
<ol> <li>Mental health diagnostic evaluation 1 ☐         referral (also includes emergency programs that provide services in person or by telephone)</li> <li>*4. Mental health treatment</li></ol>	<u>NO</u>
3. Mental health information and/or	0 🗆
referral (also includes emergency programs that provide services in person or by telephone)  *4. Mental health treatment	0 🗆
<ul> <li>(interventions such as therapy or psychotropic medication that treat a person's mental health problem or condition, reduce symptoms, and improve behavioral functioning and outcomes)</li> <li>*5. Treatment for co-occurring</li></ul>	0 🗆
disorders <u>plus</u> <u>either</u> serious mental illness (SMI) in adults <u>and/or</u> serious emotional disturbance (SED) in children  6. Substance use disorder treatment1 □  7. Administrative or operational services1 □ for mental health treatment facilities  A2. Did you answer "yes" to mental health treatment	0 🗆
<ul><li>7. Administrative or operational services₁□ for mental health treatment facilities</li><li>A2. Did you answer "yes" to mental health treatment facilities</li></ul>	0 🗆
for mental health treatment facilities  A2. Did you answer "yes" to mental health treati	0 🗆
	0 🗆
in question A1 above (option 4)?	nent
$_1$ $\square$ Yes $\longrightarrow$ SKIP TO A3 (TOP OF NEXT COL	JMN)

*A3.	n which of facility, at			
		MARK "YES" OR "NO"	FOR E	ACH
			<u>YES</u>	<u>NO</u>
	1. 24	l-hour hospital inpatient	1 🗆	o 🗆
	2. 24	l-hour residential	1 🗆	0 🗆
	3. Pa	artial hospitalization/day treatment	1 🗆	0 🗆
	4. O	utpatient	1 🗆	0 🗆
*A4.		th ONE category <u>BEST</u> describes the ty, at this location?	nis	
		For definitions of facility types, go to: <a href="https://info.nmhss.org">https://info.nmhss.org</a>		
	MARK	ONE ONLY		
	1 🗆	Psychiatric hospital		
	2 🗖	Separate inpatient psychiatric unit of a general hospital (consider this psychiatric unit as the relevant "facility" for the purpose of this survey)		
	з 🗆	Residential treatment center for children	en	IP TO
	4 🗆	Residential treatment center for adults	<b>→</b> (N	A7 NEXT AGE)
	5 🗆	Other type of residential treatment facility		ŕ
	6 🗆	Veterans Affairs Medical Center (VAMC) or other VA health care facility		
	7 🗖	Community Mental Health Center — (CMHC)		
	8 🗆	Certified Community Behavioral Health Clinic (CCBHC)		
	9 🗖	Partial hospitalization/day treatment facility		
	10 🗆	Outpatient mental health facility	<b>→</b>	IP TO A5
	11 🗆	Multi-setting mental health facility (non-hospital residential plus either outpatient and/or partial hospitalization/day treatment)	`N	OP OF IEXT AGE)
	12 🔲	Other (Specify:	1	

A5.	Is this facility either a solo or a small group practice?	What is the <u>primary</u> treatment focus of this facility, at this location?
	- 1 □ Yes  □ No → SKIP TO A6 (BELOW)	<ul> <li>Separate psychiatric units in general hospitals should answer for just their unit and <u>NOT</u> for the entire hospital.</li> </ul>
*A5a.	Is this <u>facility</u> licensed or accredited as a mental health clinic or mental health center?	MARK ONE ONLY
	Do not count the licenses or credentials of	1 ☐ Mental health treatment
	individual practitioners.  - 1 □ Yes	2 ☐ Substance use treatment → SKIP TO C1 (PAGE 15)
	□ No → SKIP TO C1 (PAGE 15)	₃ ☐ Mix of mental health and substance use treatment (neither is primary)
↓ *A6.	Is this facility a Federally Qualified Health Center	4 ☐ General health care
	(FQHC)?	5 ☐ Other service focus (Specify:
	• FQHCs include: (1) all organizations that receive grants under Section 330 of the Public Health Service Act; and (2) other organizations that do not receive grants, but have met the requirements to receive grants under Section 330 according to the U.S. Department of Health and Human Services.	Is this facility a jail, prison, or detention center that provides treatment exclusively for incarcerated persons or juvenile detainees?
		1 ☐ Yes → SKIP TO C1 (PAGE 15)
	<ul> <li>For a complete definition of a FQHC, go to: <a href="https://info.nmhss.org">https://info.nmhss.org</a></li> </ul>	○ □ No → SKIP TO A10 (TOP OF NEXT PAGE)
	¹ □ Yes	
	₀  □ No	
	d □ Don't know	
A7.	Does this facility, at this location, provide any of the following services?	
	• For definitions, go to: <a href="https://info.nmhss.org">https://info.nmhss.org</a>	
	MARK ALL THAT APPLY	
	Assisted living or nursing home care	
	2 Group homes	
	3 ☐ Clubhouse services	
	Emergency shelter (such as homeless, domestic violence, etc.)	
	<ul> <li>Care for only individuals with a developmental disability (that is, significant limitations in intellectual functioning)</li> </ul>	
	6 ☐ None of these services	

*A10.	Is thi	is facility operated by:
		CONE ONLY
	1 🗆	A private for-profit organization SKIP TO A11
	2 🗆	A private non-profit organization (BELOW)
	<b>-</b> 3 $\square$	A public agency or department
$\downarrow$		
*A10a.		ch public agency or department?
	1 🗆	State Mental Health Authority (SMHA)
	2 🗆	Other state government agency or department (e.g., Department of Health)
	з 🗆	Regional/district authority or county, local, or municipal government
	4 🗆	Tribal government
	5 🗆	Indian Health Service
	6 🗆	Department of Veterans Affairs
	7 🗆	Other (Specify:
		)
_		
A11.		is facility affiliated with a religious (or faith-based) organization?
	1 🗆	Yes
	0 🗆	No
*A12.	Whic	ch of these mental health treatment modalities are offered at this facility, at this location?
		For definitions of treatment modalities, go to: <a href="https://info.nmhss.org">https://info.nmhss.org</a>
	MARK	CALL THAT APPLY
	1 🗆	Individual psychotherapy
	2 🗆	Couples/family therapy
	з 🔲	Group therapy
	4 🗆	Cognitive behavioral therapy
	5 🗆	Dialectical behavior therapy
	6 🗆	Cognitive remediation therapy
	7 🗆	Behavior modification
	8 🗆	Integrated mental health and substance use treatment
	9 🔲	Trauma therapy
	10 🗆	Activity therapy
	11 🗆	Electroconvulsive therapy
	12 🔲	Transcranial Magnetic Stimulation (TMS)
	13 🔲	Ketamine Infusion Therapy (KIT)
	14 🔲	Eye Movement Desensitization and Reprocessing (EMDR) therapy
	15 🗆	Telemedicine/telehealth therapy (including Internet, Web, mobile, and desktop programs)
	16 🗆	Other (Specify:
		)
	17 🔲	None of these mental health treatment modalities are offered

mental illness (SMI)?	it is, the use of	p-5				, ao
□ No → SKIP TO A14 (TOP OF NEXT PAGE	=\					
<b>∀</b>			f OMI at this	facility, at t	l.:- !4:	0
A13a. Which of the following antipsychotics are used for the treatment of SMI at this facility, at this location?  MARK ALL THAT APPLY FOR EACH MEDICATION						
	M	ARK ALL 1	THAT APPLY F	OR EACH MED	DICATION	
FIRST-GENERATION ANTIPSYCHOTIC	NOT USED AT THIS FACILITY	ORAL	Injectable	LONG- ACTING INJECTABLE	RECTAL	TOPICAL
1. Chlorpromazine ( <i>Thorazine</i> ®)	1 🗆	2 🔲	3 🗆	l	5 🗆	
2. Droperidol (Inapsine®)	1 🗆		з 🗆			
3. Fluphenazine ( <i>Prolixin</i> ®)	1 🗆	2 🔲	3 □	4 🗆		
4. Haloperidol ( <i>Haldol®</i> )	1 🗆	2 🗆	з 🗆	4 🗆		
5. Loxapine ( <i>Loxitane</i> ®)	1 🗆	2 🗆	3 □			
6. Perphenazine (Trilafon/Etrafon/Triavil/Triptafen®)	1 🗆	2 🗆	з 🗆	le .		
7. Pimozide ( <i>Orap®</i> )	1 🗆	2 🗆				6 □
8. Prochlorperazine (Compazine/Compro®)	1 🗆	2 🗆	з 🗆	le .	5 🗆	
9. Thiothixene ( <i>Navane</i> ®)	1 🗆	2 🗆	3 □	h		
10. Thioridazine (Mellaril/Melleril®)	1 🗆	2 🗆		le Communication of the Commun		
11. Trifluoperazine (Stelazine®)	1 🗆	2 🗆	з 🗆			
12. Other first-generation antipsychotics (Specify:	1 🗆	2 🗆	з 🗆	4 🗆	5 🗆	6 🗆
MARK ALL THAT APPLY FOR EACH MEDICATION						
SECOND-GENERATION ANTIPSYCHOTIC	NOT USED AT THIS FACILITY	ORAL	INJECTABLE	LONG- ACTING INJECTABLE	RECTAL	TOPICAL
13. Aripiprazole ( <i>Abilify</i> ®)	1 🗆	2 🗆	з 🗆	4 🗆		
14. Asenapine (Saphris/Sycrest®)	1 🗆	2 🗆	з 🗆			
15. Brexpiprazole ( <i>Rexulti®</i> )	1 🗆	2 🔲				
16. Cariprazine ( <i>Vraylar</i> ®)	1 🗆	2 🗆		le .		
17. Clozapine ( <i>Clozaril®</i> )	1 🗆	2 🗆				
18. Iloperidone (Fanapt®)	1 🗆	2 🗆				
40 1	1 🗆	2 🗆				
19. Lurasidone ( <i>Latuda®</i> )						
20. Olanzapine ( <i>Zyprexa</i> ®)	1 🗆	2 🗆	3 🗆	4 🗆		
	1 🗆	2 🗆	3 🗆	4 🗆		
20. Olanzapine ( <i>Zyprexa</i> ®)			3 🗆	4 🗆		
20. Olanzapine ( <i>Zyprexa</i> ®)  21. Olanzapine/Fluoxetine combination ( <i>Symbyax</i> ®)	1 🗆	2 🗆				
20. Olanzapine ( <i>Zyprexa</i> ®) 21. Olanzapine/Fluoxetine combination ( <i>Symbyax</i> ®) 22. Paliperidone ( <i>Invega Trinza</i> ®)	1 🗆	2 🗆				
20. Olanzapine ( <i>Zyprexa</i> ®) 21. Olanzapine/Fluoxetine combination ( <i>Symbyax</i> ®) 22. Paliperidone ( <i>Invega Trinza</i> ®) 23. Quetiapine ( <i>Seroquel</i> ®)	1 🗆	2 🗆 2 🗆 2	3 🗆	4 🗆		

A14. Which of these services and practices are offered at this facility, at this location?  • For definitions, go to: <a href="https://info.nmhss.org">https://info.nmhss.org</a> MARK ALL THAT APPLY  1 ☐ Assertive community treatment (ACT)	A15. Did you answer "yes" to treatment for co- occurring disorders plus either serious mental illness (SMI) in adults and/or serious emotional disturbance (SED) in children in question A1 above (option 5)?
□ Intensive case management (ICM)   □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	
3 ☐ Case management (CM)	$_{0}$ $\square$ No $\longrightarrow$ SKIP TO A17 (TOP OF NEXT PAGE)
4 □ Court-ordered treatment	↓
<ul> <li>Assisted Outpatient Treatment (AOT)</li> <li>Chronic disease/illness management (CDM)</li> </ul>	A16. Which of the following services are provided to clients with co-occurring mental health and substance use disorders at this facility?
7  Illness management and recovery (IMR)	-
8   Integrated primary care services	MARK ALL THAT APPLY
9 Diet and exercise counseling	□ Detoxification (medical withdrawal)
10 ☐ Family psychoeducation 11 ☐ Education services	Medication assisted treatment for alcohol use disorder (for example, disulfiram,
	acamprosate)
<ul> <li>Housing services</li> <li>Supported housing</li> <li>Psychosocial rehabilitation services</li> </ul>	<ul> <li>Medication assisted treatment for opioid use disorder (for example, buprenorphine, methadone, naltrexone)</li> </ul>
□ Vacational valuabilitation comices	· ·
15 ☐ Vocational renabilitation services 16 ☐ Supported employment	4 🔲 Individual counseling
	₅ ☐ Group counseling
17 ☐ Therapeutic foster care	6 ☐ 12-Step groups
18 ☐ Legal advocacy	¬ □ Case management
19 ☐ Psychiatric emergency walk-in services	8  None of these services are offered
20 Suicide prevention services	
21 ☐ Peer support services	
□ Testing for Hepatitis B (HBV)	
□ Testing for Hepatitis C (HCV)	
24 HIV testing	
25 STD testing	
26 ☐ TB screening	
27   Screening for tobacco use	
28 ☐ Smoking/vaping/tobacco cessation counseling	
29 Nicotine replacement therapy	
30 Non-nicotine smoking/tobacco cessation medications (by prescription)	
31	
None of these services and practices are offered	

*A17.	What age groups are accepted for treatment at this facility?							
	If any of the ages that you accept fall within a category below, mark YES to that category.							
	MARK "YES" OR "NO" FOR EACH							
	<u>YES</u> <u>NO</u>							
	1. Young children (0-5) 1 □ 0 □							
	2. Children (6-12) 1 □ 0 □							
3. Adolescents (13-17) 1 □ 0 □      4. Young adults (18-25) 1 □ 0 □      5. Adults (26-64) 1 □ 0 □								
								6. Older adults (65 or older) 1 □ 0 □
							*A18.	Does this facility offer a mental health treatment program or group that is <u>dedicated or designed exclusively</u> for clients in any of the following categories?
T	<ul> <li>If this facility treats clients in any of these categories, but <u>does not</u> have a specifically tailored program or group for them, <u>DO NOT</u> mark the box for that category.</li> </ul>							
	MARK ALL THAT APPLY							
	□ Children/adolescents with serious emotional disturbance (SED)							
	2 ☐ Young adults							
	₃ □ Persons 18 and older with serious mental illness (SMI)							
	4 □ Older adults							
	□ Persons with Alzheimer's or dementia							
	6 ☐ Persons with co-occurring mental and substance use disorders							
	¬ □ Persons with eating disorders							
	8 ☐ Persons experiencing first-episode psychosis							
	Persons who have experienced intimate partner violence, domestic violence							
	Persons with a diagnosis of post-traumatic stress disorder (PTSD)							
	Persons who have experienced trauma (excluding persons with a PTSD diagnosis)							
	Persons with traumatic brain injury (TBI)							
	13 Veterans							
	14 Active duty military							
	15 Members of military families							
	Lesbian, gay, bisexual, transgender, or queer/questioning (LGBTQ) clients							
	Forensic clients (referred from the court/ judicial system)							
	Persons with HIV or AIDS							
	19 Other special program or group (Specify:)							
	20 ☐ No dedicated or exclusively designed programs or groups are offered							

*A19.	Does this facility offer a crisis intervention team that handles acute mental health issues at this facility and/or off-site?		o staff at this facility proveatment services in any c		1
	1 ☐ Yes	0 □	$\square$ No $\longrightarrow$ SKIP TO A24 (E	3ELOW)	
	₀ □ No		what other languages do alth treatment services <u>a</u>		ntal
*A20.	Does this facility offer services for psychiatric emergencies onsite?	•	Do not count languages interpreters.	provided only by o	n-call
	1 ☐ Yes	MA	RK ALL THAT APPLY		
	₀	Am	erican Indian or Alaska Na	tive:	
*A21.	Does this facility offer mobile/off-site psychiatric crisis services?		∃ Hopi ∃ Lakota	₄□ Ojibwa ₅□ Yupik	
	₁ □ Yes	з 🗆	∃ Navajo		
	∘ □ No	6 □	Other American Indian language (Specify:	or Alaska Native	
*A22.	Does this facility provide mental health treatment services in <u>sign language</u> at this location for the deaf and hard of hearing (for example, American	Oth	ner Languages:		)
	Sign Language, Signed English, or Cued Speech)?	7 C	☐ Arabic	16 ☐ Hmong	
	•	8 🗆	Any Chinese language	₁7 ☐ Italian	
	<ul> <li>Mark "yes" if either staff or an on call interpreter provides this service.</li> </ul>	9 🗆	☐ Creole	18 ☐ Japanese	
	¹ □ Yes	10 □	∃ Farsi	19 ☐ Korean	
	₀ □ No	11 🗆	French	20 D Polish	
		12 🗆	∃ German	21 ☐ Portuguese	
*A23.	Does this facility provide mental health treatment services in a language other than English at this	13 🛭	Greek	22  Russian	
	location?	14 🗆	☐ Hebrew	23 ☐ Tagalog	
<b> </b>	. ₁□ Yes	15 <b>C</b>	∃ Hindi	24 U Vietnamese	)
	∘ □ No, only English → SKIP TO A24 (NEXT COLUMN)	25 🗆	☐ Any other language (Sp	ecify:	)
↓ A23a.	At this facility, who provides mental health treatment services in a language other than English?	are	hich of these quality imp e part of this facility's <u>sta</u> ocedures?	andard operating	
	MARK ONE ONLY		MARK '	"YES" OR "NO" FOR E	
_	Staff who speak a language other than English	4 Conti	ouing advantion requireme	YES	<u>NO</u>
	On-call interpreter (in person or by phone) brought in when needed → SKIP TO A24	profes	nuing education requireme ssional staff larly scheduled case revie	1 🗆	0 □
Ш	(NEXT COLUMN)		ervisor		o 🗆
	BOTH staff and on-call interpreter		larly scheduled case revie nted quality review commi		o 🗆
*A23a1	. Do staff provide mental health treatment services in Spanish at this facility?	4. Client	outcome follow-up after d	ischarge ₁ □	0 🗆
	1 ☐ Yes → SKIP TO A23a2 (TOP OF NEXT COLUMN)	5. Contir	nuous quality improvemen	t processes₁□	0 🗆
	□ No → SKIP TO A23b (NEXT COLUMN)	6. Period	dic client satisfaction surve	∍ys1 □	0 🗆
	Control of the contro	7. Clinica	al provider peer review (C	PPR) ₁ □	0 🗆
		8. Root	cause analysis (RCA)	1 🗆	0 🗆

A25.	25. Which of the following statements BEST describes this facility's <u>smoking policy</u> for <u>clients</u> ?  MARK ONE ONLY					
	Not permitted to smoke anywhere outside or within any but	ıilding				
	Permitted in designated outdoor area(s)	J				
	₃ ☐ Permitted anywhere outside					
	<sup>₄</sup> □ Permitted in <u>designated indoor</u> area(s)					
	5 ☐ Permitted anywhere inside					
	6 ☐ Permitted anywhere without restriction					
A26.	In the 12-month period beginning May 1, 2019, and ending A seclusion or restraint with clients?  1 □ Yes  □ □ No	April 30, 2020, ł	ave staff <u>at t</u>	<u>his facilit</u>	<u>y</u> used	
A26a.	Does this facility have any policies in place to minimize the $_1$ $\square$ Yes	use of seclusion	on or restrair	nt?		
	₀					
A27.	Please indicate what method staff members routinely use to	accomplish th	e following v	vork activ	rities.	
	NOTE: Electronic resources include tools such as electronic Please consider e-fax, pdf, or scanned documents a			portals.		
	T lease consider e lax, par, or scarifica accuments	as paper docume	nts.			
	r lease consider e rax, par, or scarmed decaments of		nts. ALL THAT APPL	Y FOR EAC	H ACTIVITY	
WOR	EK ACTIVITY		ALL THAT APPL	R-	H ACTIVITY  NOT APPLICABLE	
		MARK	ALL THAT APPL	R-	Not	
1. l	K ACTIVITY	MARK A ELECTROI HEALTH RECORD (EHR)	ALL THAT APPL IIC COMPUTER S BASED (NON-EHR	PAPER	NOT APPLICABLE	
1. li 2. S	ek ACTIVITY ntake	MARK A ELECTROI HEALTH RECORD (EHR)	ALL THAT APPL IIC COMPUTER BASED (NON-EHR	PAPER 3	NOT APPLICABLE	
1. li 2. S	ntake Scheduling appointments	MARK A ELECTROI HEALTH RECORD (EHR)  1  1	ALL THAT APPL  COMPUTER BASED (NON-EHR	3	NOT APPLICABLE	
1. II 2. S 3. A 4. T	ntake Scheduling appointments Assessment/evaluation	MARK A ELECTROI HEALTH RECORD (EHR)  1  1  1  1  1	ALL THAT APPL S BASED (NON-EHR 2  2  2  2  2	3	NOT APPLICABLE  na  na  na  na	
1. li 2. \$ 3. A 4. 1	ntake Scheduling appointments Assessment/evaluation Treatment plan	MARK A ELECTROI HEALTH RECORD (EHR)  1  1  1  1  1  1  1  1  1  1  1  1  1	ALL THAT APPL S COMPUTER BASED (NON-EHR 2  2  2  2  2  2  2  2  2  2  2  2  2	3	NOT APPLICABLE  na  na  na  na  na  na	
1. li 2. \$ 3. A 4. T 5. (0	ntake Scheduling appointments Assessment/evaluation Treatment plan Client progress monitoring	MARK A ELECTROI HEALTH RECORD (EHR)  1  1  1  1  1  1  1  1  1  1  1  1  1	ALL THAT APPL  S BASED (NON-EHR  2  2  2  2  2  2  2  2  2  2  2  2  2	3	NOT APPLICABLE  na   na   na   na   na   na   na	
1. II 2. \$ 3. A 4. T 5. C 6. E 7. F	ntake Scheduling appointments Assessment/evaluation Freatment plan Client progress monitoring Discharge	MARK A ELECTROI HEALTH RECORD (EHR)  1  1  1  1  1  1  1  1  1  1  1  1  1	ALL THAT APPL S COMPUTER BASED (NON-EHR 2	3	NOT APPLICABLE  na   na   na   na   na   na   na   na	

6. Discharge	1 🗆	2 🗆	з 🗆	na 🔲
7. Referrals	1 🗆	2 🗆	3 🗆	na 🔲
8. Issue/receive lab results	1 🗆	2 🗆	з 🗆	na 🔲
9. Medication prescribing/dispensing	1 🗆	2 🗆	з 🗆	na 🔲
10. Checking medication interactions	1 🗆	2 🗆	з 🗆	na 🔲
11. Store and maintain client health and/or treatment records	1 🗆	2 🗆	з 🗆	na 🛘
12. Send client health and/or treatment information to providers or sources outside your organization	1 🗆	2 🗆	з 🗆	na 🗆
13. Receive client health and/or treatment information from providers or sources outside your organization	1 🗆	2 🗆	з 🗆	na 🗆
14. Billing	1 🗆	2 🗆	з 🗆	na 🔲
15. Client or family satisfaction surveys	1 🗆	2 🗆	з 🗆	na 🔲
16. Updating availability of beds	1 🗆	2 🗆	з 🗆	na 🔲

*A28.	Does this facility use a sliding fee scale?			h of the following types of client payments,
	<ul> <li>Sliding fee scales are based on income and other factors.</li> </ul>		facili	rance, or funding are accepted by this ity for mental health treatment services?
	Not applicable to Veterans Affairs facilities.			or definitions, go to: <a href="https://info.nmhss.org">https://info.nmhss.org</a>
	₁ ☐ Yes		MARK	CALL THAT APPLY
	$_{\circ}$ $\square$ No $\longrightarrow$ SKIP TO A29 (BELOW)		1 🗆	Cash or self-payment
$ \downarrow$			2 🗆	Private health insurance
A28a.	Do you want the availability of a sliding fee scale published in SAMHSA's online Behavioral Health		3 🗆	Medicare
	Treatment Services Locator?		4 🔲	Medicaid
	<ul> <li>The Locator will inform potential clients to call the facility for information on eligibility.</li> </ul>		5 🗖	State-financed health insurance plan other than Medicaid
	Not applicable to Veterans Affairs facilities.		6 🗆	State mental health agency (or equivalent)
	₁ ☐ Yes			funds
	₀ □ No		7 🗆	State welfare or child and family services agency funds
*A29.	Does this facility offer treatment at no charge or minimal payment (for example, \$1) to clients who cannot afford to pay?		8 🗆	State corrections or juvenile justice agency funds
	Not applicable to Veterans Affairs facilities.		9 🔲	State education agency funds
	₁□ Yes		10 🗆	Other state government funds
	$_{0}$ $\square$ No $\longrightarrow$ SKIP TO A30 (TOP OF NEXT COLUMN)		11 🗆	County or local government funds
$\downarrow$	Do you want the availability of treatment at no charge or minimal payment (for example, \$1) for		12 🗆	Community Service Block Grants
A29a.			13 🗆	Community Mental Health Block Grants
	eligible clients published in SAMHSA's online Behavioral Health Treatment Services Locator?		14 🔲	Federal grants
	The Locator will inform potential clients to call the facility for information on eligibility.		15 🔲	Federal military insurance (such as TRICARE)
	<ul> <li>Not applicable to Veterans Affairs facilities.</li> </ul>		16 🗆	U.S. Department of Veterans Affairs funds
	1 ☐ Yes		17 🗆	IHS/Tribal/Urban (ITU) funds
	∘ □ No		18 🗆	Private or Community foundation
			19 🔲	Other (Specify:)
		I		

A31.	From which of these agencies or organizations does this facility have licensing, certification, or accreditation?	SECTION B: CLIENT/PATIENT COUNT INFORMATION
A22	<ul> <li>Do not include personal-level credentials or general business licenses such as a food service license.</li> <li>MARK ALL THAT APPLY</li> <li>1 ☐ State mental health authority</li> <li>2 ☐ State substance abuse agency</li> <li>3 ☐ State department of health</li> <li>4 ☐ State or local Department of Family and Children's Services</li> <li>5 ☐ Hospital licensing authority</li> <li>6 ☐ The Joint Commission</li> <li>7 ☐ Commission on Accreditation of Rehabilitation Facilities (CARF)</li> <li>8 ☐ Council on Accreditation (COA)</li> <li>9 ☐ Centers for Medicare and Medicaid Services (CMS)</li> <li>10 ☐ Other national organization, or federal, state, or local agency</li> <li>(Specify:</li></ul>	Questions B3 − B8 ask about the number of clients/patients treated at this facility on specific dates.  Please look carefully at the dates specified, as questions will ask for either a single day count, a one-month count, or a 12-month count.  Include ALL clients/patients receiving mental health treatment in your counts, even if a mental health disorder is a secondary diagnosis or has not yet been formally determined.  B1. Although reporting for only the clients/patients treated at this facility is preferred, we realize that may not be possible. Will the client/patient counts reported in this questionnaire include:  MARK ONE ONLY  1 □ Only this facility → SKIP TO B3 (NEXT PAGE)  2 □ This facility plus others → SKIP TO B2 (BELOW)
A32.	If eligible, does this facility want to be listed in SAMHSA's online Behavioral Health Treatment Services Locator and Mental Health Directory?  • The Locator can be found at:  https://findtreatment.samhsa.gov	Another facility in the organization will report client/patient counts for this facility  B1a. Please record the name and telephone number of the facility that will report your client/patient
↓ A32a.	<ul> <li>The Directory will be available at <a href="https://www.samhsa.gov/data">https://www.samhsa.gov/data</a></li> <li>Tes</li> <li>No → SKIP TO B1 (TOP OF NEXT COLUMN)</li> <li>Does this facility want the street address and/or mailing address to be listed in SAMHSA's online Behavioral Health Treatment Services Locator and Mental Health Directory?</li> </ul>	counts.  Facility name:  Telephone: ()  After recording the facility name and telephone number in B1a → SKIP TO C1 (PAGE 13)
A32b.	MARK ALL THAT APPLY  1  Publish the street address  2  Publish the mailing address  3  Do not publish either address  To increase public awareness of behavioral health services, SAMHSA may be sharing facility information with large commercially available Internet search engines (such as Google, Bing,	B2. How many facilities will be included in the reported client/patient counts?  THIS FACILITY  + ADDITIONAL FACILITIES
	Yahoo!, etc.), businesses (such as any .com, .org, .edu, etc.) or individuals asking for this information for any purpose. Do you want your facility information shared?  • Information to be shared would be: facility name, location address, telephone number, website address, and all asterisked items in the questionnaire.  1 □ Yes  □ No	On page 14 of this questionnaire, list the name and location address of each facility included in your client/patient counts. If you prefer, we will contact you for a list of the other facilities included in your client/patient counts.  CONTINUE WITH QUESTION B3 (TOP OF NEXT PAGE)

B3.	On April 30, 2020, did any patients receive 24-hour hospital inpatient mental health treatment at this facility, at this location?				30, 2020, how many patients receivenospital inpatient mental health treat cility?			
	1 ☐ Yes → <b>GO TO B3a</b>	(TOP OF NEXT COLUMN)					embers, frien	ds, or c
		(TOP OF NEXT PAGE)		non-trea	atment pers	sons.		
				НО	OSPITAL INPATIENTS TOTAL BOX			
				CONTINU	IE WITH QU	ESTIC	N B3b (BELC	OW)
Bb.		r, please provide a breakdo either numbers OR percer						3a
	<ul> <li>If numbers are used—e</li> </ul>	ach category total should eq	ual the	number repo	rted in the l	ВЗа Т	OTAL BOX a	above.
	<ul> <li>If percents are used—e.</li> </ul>	ach category total should eq	ual 100	%.				
					NUMBER	OR	PERCENT	
	SEX	Male		[				
	SEA	Female				1		
		CATEGORY TOTAL:				1	100%	
				· .		]		
	AGE	0 – 17 18 – 64				1		
		65 and older		•				
		CATEGORY TOTAL:				1	100%	
						]		
	ETHNICITY	Hispanic or Latino  Not Hispanic or Latino		•		-		
		Unknown or not collected						
		CATEGORY TOTAL:				1	100%	
						 ]		
	RACE	American Indian or Alaska				1		
		Asian Black or African American				1		
		Native Hawaiian or other P				1		
		White				1		
		Two or more races		ŀ				
		Unknown or not collected		ŀ		1		
		CATEGORY TOTAL:				]	100%	
	LEGAL STATUS	Voluntary		- [		- 1		
	LEGAL STATUS	Involuntary, non-forensic				1		
		• •		•		1		
		Involuntary, forensic						

NUMBER OF BEDS

(If none, enter '0')

	CLIEN	IT COUNTS: 24-HOUR R	ESIDENTIAL (NO	N-HOSPI	TAL)						
B4.	On April 30, 2020, did and residential mental healt at this location?	B4a. On April 30, 2020, how many clients received 24-hour residential mental health treatment at this facility?									
	1 ☐ Yes → <b>GO TO B4a</b>	(TOP OF NEXT COLUMN)		<b>T</b> count fan atment pers		embers, friend	ds, or other				
	$_{0}$ $\square$ No $\longrightarrow$ SKIP TO B	$_{0}$ $\square$ No $\longrightarrow$ SKIP TO B5 (TOP OF NEXT PAGE)			ENTS						
				TOTAL							
			CONTINU	JE WITH QU	ESTIC	N B4b (BELO	W)				
B4b.		w, please provide a breakdo e either numbers OR percei					4a				
	If numbers are used—each category total should equal the number reported in the B4a TOTAL BOX above.										
		<ul> <li>If percents are used—each category total should equal 100%.</li> </ul>									
	,	,									
				NUMBER	OR	PERCENT					
	SEX	Male									
		Female									
		CATEGORY TOTAL:	(Should=B4a or 100%)			100%					
	405	0 – 17			- 1						
	AGE	18 – 64			1						
		65 and older			1						
			(Should=B4a or 100%)		1	100%					
		CATEGORI TOTAL	(Should—D4a 01 10078)		]	10076					
	ETHNICITY	Hispanic or Latino									
		Not Hispanic or Latino									
		Unknown or not collected.			1						
		CATEGORY TOTAL:	(Should=B4a or 100%)		]	100%					
	RACE	American Indian or Alaska	Native								
		Asian									
		Black or African American									
		Native Hawaiian or other F	Pacific Islander								
		White									
		Two or more races									
		Unknown or not collected.									
		CATEGORY TOTAL:	(Should=B4a or 100%)			100%					
	LEGAL STATUS	Voluntary			1						
	220/12 01/1100	Involuntary, non-forensic									
		Involuntary, forensic									
		• •	(Should=B4a or 100%)		]	100%					
B4c.	On April 30, 2020, how i mental health treatment	many residential beds at thi ?	s facility were <u>spe</u>	cifically de	signa	ted for provi	ding				
	NIIMBED OE DEDE										
	NUMBER OF BEDS										
	(If	none, enter '0')									

### CLIENT COUNTS: LESS THAN 24-HOUR CARE (INCLUDE OUTPATIENT CLIENTS AND PARTIAL HOSPITALIZATION/DAY TREATMENT CLIENTS)

B5. During the month of April 2020, did any clients receive less than 24-hour mental health treatment at this facility, at this location?

INCLUDE OUTPATIENT CLIENTS AND PARTIAL HOSPITALIZATION/DAY TREATMENT CLIENTS ON THIS PAGE.

- $_1$  □ Yes  $\longrightarrow$  GO TO B5a (TOP OF NEXT COLUMN)
- $_0 \square$  No  $\longrightarrow$  SKIP TO B6 (TOP OF NEXT PAGE)

- B5a. During the month of April 2020, how many clients received less than 24-hour mental health treatment at this facility?
  - ONLY INCLUDE those seen at this facility <u>at</u> <u>least once</u> during the month of April, AND <u>who</u> <u>were still enrolled in treatment on April 30,</u> <u>2020</u>.
  - DO NOT count family members, friends, or other non-treatment persons.

<b>OUTPATIENT CLIENTS</b>	AND
PARTIAL HOSPITALIZAT	ION/
DAY TREATMENT CLIE	NTS
ΤΟΤΔΙ	ROX

ITS AND	
ZATION/	
CLIENTS	
AL BOX	

CONTINUE WITH QUESTION B5b (BELOW)

- B5b. For each category below, please provide a breakdown of the <u>Clients in Less Than 24-Hour Care</u> reported in the B5a TOTAL BOX above. Use either numbers OR percents, whichever is more convenient.
  - If numbers are used—each category total should equal the number reported in the B5a TOTAL BOX above.
  - If percents are used—each category total should equal 100%.

		NUMBER	OR	PERCENT
SEX	Male			
	Female			
	CATEGORY TOTAL: (Should=B5a or 100%)			100%
AGE	0 – 17			
	18 – 64			
	65 and older			
	CATEGORY TOTAL: (Should=B5a or 100%)			100%
ETHNICITY	Hispanic or Latino			
	Not Hispanic or Latino			
	Unknown or not collected			
	CATEGORY TOTAL: (Should=B5a or 100%)			100%
RACE	American Indian or Alaska Native			
	Asian			
	Black or African American			
	Native Hawaiian or other Pacific Islander			
	White			
	Two or more races			
	Unknown or not collected			
	CATEGORY TOTAL: (Should=B5a or 100%)			100%
LEGAL STATUS	Voluntary			
	Involuntary, non-forensic			
	Involuntary, forensic			
	CATEGORY TOTAL: (Should=B5a or 100%)			100%

#### **ALL MENTAL HEALTH CARE SETTINGS**

Including 24-Hour Hospital Inpatient, 24-Hour Residential (non-hospital), and Less Than 24-Hour Outpatient and Partial Hospitalization/Day Treatment

B6. On April 30, 2020, approximately what percent of the mental health treatment clients/patients enrolled at this facility had <u>diagnosed co-occurring</u> mental and substance use disorders?



- B7. In the 12-month period of May 1, 2019 through April 30, 2020, how many mental health treatment admissions, readmissions, and incoming transfers did this facility have? Exclude returns from unauthorized absence, such as escape, AWOL, or elopement.
  - IF DATA FOR THIS TIME PERIOD ARE NOT AVAILABLE: Use the most recent 12-month period for which data are available.
  - **OUTPATIENT CLIENTS:** Consider each initiation to a course of treatment as an admission. <u>Count admissions</u> into treatment, not individual treatment visits.
  - WHEN A MENTAL HEALTH DISORDER IS A SECONDARY DIAGNOSIS: Count all admissions where clients/patients received mental health treatment.



B8. What percent of the admissions reported in question B7 above were <u>military veterans</u>? Please give your best estimate.



### **SECTION C: GENERAL INFORMATION**

C1. Who was primarily responsible for completing this form?						
	This information will only be used if we need to contact you about your responses. It will not be published.					
	MARK ONE ON	NLY				
	₁ □ Ms.	2 □ Mr.	з 🗆 Mrs.	4 □ Dr.	₅ □ Other (Specify:	
	Name:					
	Title:					
	Phone Numl	ber: (	_)		Ext	
	Fax Number	: (	_)			
	Email Addre	ss:				
	Facility Ema	il Address:				

### **ADDITIONAL FACILITIES INCLUDED IN CLIENT/PATIENT COUNTS**

Complete this section if you reported clients/patients for this facility plus additional facilities, as indicated in Question B2.

For each additional facility, please mark if that facility offers hospital inpatient, residential, outpatient mental health treatment, and/or partial hospitalization/day treatment at that location.

FACILITY NAME:	FACILITY NAME:			
ADDRESS:	ADDRESS:			
CITY:	CITY:			
STATE: ZIP:	STATE: ZIP:			
TELEPHONE:	TELEPHONE:			
FACILITY EMAIL ADDRESS:	FACILITY EMAIL ADDRESS:			
☐ HOSPITAL INPATIENT ☐ RESIDENTIAL ☐ OUTPATIENT	☐ HOSPITAL INPATIENT ☐ RESIDENTIAL ☐ OUTPATIENT			
☐ PARTIAL HOSPITALIZATION/DAY TREATMENT	☐ PARTIAL HOSPITALIZATION/DAY TREATMENT			
FACILITY NAME:	FACILITY NAME:			
ADDRESS:	ADDRESS:			
CITY:	CITY:			
STATE: ZIP:	STATE: ZIP:			
TELEPHONE:	TELEPHONE:			
FACILITY EMAIL ADDRESS:	FACILITY EMAIL ADDRESS:			
☐ HOSPITAL INPATIENT ☐ RESIDENTIAL ☐ OUTPATIENT ☐ PARTIAL HOSPITALIZATION/DAY TREATMENT	☐ HOSPITAL INPATIENT ☐ RESIDENTIAL ☐ OUTPATIENT☐ PARTIAL HOSPITALIZATION/DAY TREATMENT			
FACILITY NAME:	FACILITY NAME:			
ADDRESS:	ADDRESS:			
CITY:	CITY:			
STATE: ZIP:	STATE: ZIP:			
TELEPHONE:	TELEPHONE:			
FACILITY EMAIL ADDRESS:	FACILITY EMAIL ADDRESS:			
☐ HOSPITAL INPATIENT ☐ RESIDENTIAL ☐ OUTPATIENT ☐ PARTIAL HOSPITALIZATION/DAY TREATMENT	☐ HOSPITAL INPATIENT ☐ RESIDENTIAL ☐ OUTPATIENT☐ PARTIAL HOSPITALIZATION/DAY TREATMENT			

If you require additional space, please continue on the next page

ANY ADDITIONAL COMMENTS
Thank you for your participation. Please return this questionnaire in the envelope provided.  If you no longer have the envelope, please mail this questionnaire to:
MATHEMATICA  ATTN: RECEIPT CONTROL - Project 50345_1 P.O. Box 2393  Princeton, NJ 08543-2393
PLEDGE TO RESPONDENTS: The information you provide will be protected to the fullest extent allowable under the Public Health Service Act (42 USC 290aa(p)). This law permits the public release of identifiable information about an establishment only with the consent of that establishment and limits the use of the information to the purposes for which it was supplied. With the explicit consent of treatment facilities, information provided in

USC 290aa(p)). This law permits the public release of identifiable information about an establishment only with the consent of that establishment and limits the use of the information to the purposes for which it was supplied. With the explicit consent of treatment facilities, information provided in response to survey questions marked with an asterisk may be published in SAMHSA's online Behavioral Health Treatment Services Locator, the *National Directory of Mental Health Treatment Facilities*, and other publicly-available listings. Responses to non-asterisked questions will be published with no direct link to individual treatment facilities.

Public Burden Statement: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-0119. Public reporting burden for this collection of information is estimated to average 45 minutes per respondent, per year, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to SAMHSA Reports Clearance Officer, 5600 Fishers Lane, Room 15E57-A, Rockville, Maryland 20857.