

FORM APPROVED:

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See OMB burden statement on last page

National Survey of Substance Abuse Treatment Services (N-SSATS)

March 31, 2009

Substance Abuse and Mental Health Services Administration (SAMHSA)

SAMPLE

***PLEASE REVIEW THE FACILITY INFORMATION PRINTED ABOVE.
CROSS OUT ERRORS AND ENTER CORRECT OR MISSING INFORMATION.***

CHECK ONE

- Information is complete and correct, no changes needed
- All missing or incorrect information has been corrected



PLEASE READ THIS ENTIRE PAGE BEFORE COMPLETING THE QUESTIONNAIRE

Would you prefer to complete this questionnaire online? See the pink flyer enclosed in your questionnaire packet for the Internet address and your unique user ID and password. You can log on and off the website as often as needed to complete the questionnaire. When you log on again, the program will take you to the next unanswered question. If you need more information, call the N-SSATS helpline at 1-888-324-8337.

INSTRUCTIONS

- Most of the questions in this survey ask about “this facility.” By “this facility” we mean the specific treatment facility or program whose name and location are printed on the front cover. If you have any questions about how the term “this facility” applies to your facility, please call 1-888-324-8337.
- Please answer **ONLY** for the specific facility or program whose name and location are printed on the front cover, unless otherwise specified in the questionnaire.
- Return the completed questionnaire in the envelope provided. Please keep a copy for your records.
- For additional information about this survey and definitions of some of the terms used, please visit our website at <http://info.nssats.com>.
- If you have any questions or need additional blank forms, contact:

MATHEMATICA POLICY RESEARCH, INC.
1-888-324-8337

IMPORTANT INFORMATION

* **Asterisked questions.** Information from asterisked (*) questions will be published in SAMHSA’s *National Directory of Drug and Alcohol Abuse Treatment Programs* and will be available online at <http://findtreatment.samhsa.gov>, SAMHSA’s Substance Abuse Treatment Facility Locator.

Mapping feature in Locator. Complete and accurate name and address information is needed for the online Treatment Facility Locator so it can correctly map the facility location.

Eligibility for Directory/Locator. Only facilities designated as eligible by their state substance abuse office will be listed in the *National Directory* and online Treatment Facility Locator. Your state N-SSATS representative can tell you if your facility is eligible to be listed in the Directory/Locator. For the name and telephone number of your state representative, call the N-SSATS helpline at 1-888-324-8337.

SECTION A: FACILITY CHARACTERISTICS

Section A asks about characteristics of individual facilities and should be completed for this facility only, that is, the treatment facility or program at the location listed on the front cover.

1. Which of the following substance abuse services are offered by this facility at this location, that is, the location listed on the front cover?

MARK "YES" OR "NO" FOR EACH

- | | YES | NO |
|--|----------------------------|----------------------------|
| 1. Intake, assessment, or referral | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 2. Detoxification..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 3. Substance abuse treatment (services that focus on initiating and maintaining an individual's recovery from substance abuse and on averting relapse) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 4. Any other substance abuse services | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |

2. Did you answer "yes" to detoxification in option 2 of question 1 above?

- 1 Yes
 0 No → SKIP TO Q.3 (TOP OF NEXT COLUMN)

2a. Does this facility detoxify clients from . . .

MARK "YES" OR "NO" FOR EACH

- | | YES | NO |
|---------------------------|----------------------------|----------------------------|
| 1. Alcohol..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 2. Benzodiazepines | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 3. Cocaine | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 4. Methamphetamines..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 5. Opiates | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 6. Other (Specify: _____) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |

2b. Does this facility routinely use medications during detoxification?

- 1 Yes
 0 No → SKIP TO Q.4 (NEXT COLUMN)

3. Did you answer "yes" to substance abuse treatment in option 3 of question 1?

- 1 Yes
 0 No → SKIP TO Q.37 (PAGE 11)

*4. What is the primary focus of this facility at this location, that is, the location listed on the front cover?

MARK ONE ONLY

- 1 Substance abuse treatment services
 2 Mental health services
 3 Mix of mental health and substance abuse treatment services (neither is primary)
 4 General health care
 5 Other (Specify: _____)

5. Is this facility operated by . . .

MARK ONE ONLY

- 1 A private for-profit organization
 2 A private non-profit organization
 3 State government
 4 Local, county, or community government
 5 Tribal government
 6 Federal Government
- SKIP TO Q.6 (BELOW)
 SKIP TO Q.8 (PAGE 2)

5a. Which Federal Government agency?

MARK ONE ONLY

- 1 Department of Veterans Affairs
 2 Department of Defense
 3 Indian Health Service
 4 Other (Specify: _____)
- SKIP TO Q.8 (PAGE 2)

6. Is this facility a solo practice, meaning, an office with only one independent practitioner or counselor?

- 1 Yes
 0 No

7. Is this facility affiliated with a religious organization?

- 1 Yes
- 0 No

8. Is this facility a jail, prison, or other organization that provides treatment exclusively for incarcerated persons or juvenile detainees?

- 1 Yes → SKIP TO Q.43 (PAGE 12)
- 0 No

9. Is this facility located in, or operated by, a hospital?

- 1 Yes
- 0 No → SKIP TO Q.10 (BELOW)

9a. What type of hospital?

MARK ONE ONLY

- 1 General hospital (including VA hospital)
- 2 Psychiatric hospital
- 3 Other specialty hospital, for example, alcoholism, maternity, etc.
(Specify: _____)

*10. What telephone number(s) should a potential client call to schedule an intake appointment?

- 1. (_____) _____ - _____ ext. _____
- 2. (_____) _____ - _____ ext. _____

11. Which of the following services are provided by this facility at this location, that is, the location listed on the front cover?

MARK ALL THAT APPLY

Assessment and Pre-Treatment Services

- 1 Screening for substance abuse
- 2 Screening for mental health disorders
- 3 Comprehensive substance abuse assessment or diagnosis
- 4 Comprehensive mental health assessment or diagnosis (for example, psychological or psychiatric evaluation and testing)

- 5 Outreach to persons in the community who may need treatment
- 6 Interim services for clients when immediate admission is not possible

Testing (Include tests performed at this location, even if specimen is sent to an outside source for chemical analysis.)

- 7 Breathalyzer or other blood alcohol testing
- 8 Drug or alcohol urine screening
- 9 Screening for Hepatitis B
- 10 Screening for Hepatitis C
- 11 HIV testing
- 12 STD testing
- 13 TB screening

Transitional Services

- 14 Discharge planning
- 15 Aftercare/continuing care

Ancillary Services

- 16 Case management services
- 17 Social skills development
- 18 Mentoring/peer support
- 19 Child care for clients' children
- 20 Assistance with obtaining social services (for example, Medicaid, WIC, SSI, SSDI)
- 21 Employment counseling or training for clients
- 22 Assistance in locating housing for clients
- 23 Domestic violence—family or partner violence services (physical, sexual, and emotional abuse)
- 24 Early intervention for HIV
- 25 HIV or AIDS education, counseling, or support
- 26 Health education other than HIV/AIDS
- 27 Substance abuse education
- 28 Transportation assistance to treatment
- 29 Mental health services
- 30 Acupuncture
- *31 Residential beds for clients' children
- 32 Self-help groups (for example, AA, NA, Smart Recovery)

Pharmacotherapies

- 33 Antabuse®
- 34 Naltrexone
- 35 Campral®
- 36 Nicotine replacement
- 37 Medications for psychiatric disorders
- 38 Methadone
- 39 Buprenorphine – Subutex®
- 40 Buprenorphine – Suboxone®

12. In question 11, did you check any of the following categories: 38 Methadone; 39 Buprenorphine-Subutex[®]; or 40 Buprenorphine-Suboxone[®]?

- 1 Checked at least one of these categories
0 Checked none → SKIP TO Q.13
(TOP OF NEXT COLUMN)

*12a. Does this facility operate a methadone maintenance or buprenorphine maintenance program at this location?

MARK ONE ONLY

- 1 Yes, a methadone maintenance program
2 Yes, a buprenorphine maintenance program (Subutex[®] and/or Suboxone[®])
3 Yes, both a methadone maintenance and a buprenorphine maintenance program
4 No, neither type of maintenance program → SKIP TO Q.12c (BELOW)

*12b. Does this facility serve only opiate-dependent clients at this location?

- 1 Yes
0 No

*12c. Does this facility operate an opiate detox program at this location that uses methadone or buprenorphine to detoxify clients?

MARK ONE ONLY

- 1 Yes, a program that uses methadone to detox clients
2 Yes, a program that uses buprenorphine to detox clients (Subutex[®] and/or Suboxone[®])
3 Yes, both a program that uses methadone and a program that uses buprenorphine to detox clients
4 No, neither type of detoxification program

13. Does this facility use individual counseling as part of its substance abuse treatment program?

- 1 Yes
0 No → SKIP TO Q.14 (BELOW)

13a. During the course of treatment, approximately what percent of substance abuse clients receive individual counseling?

MARK ONE ONLY

- 1 25% or less
2 26% to 50%
3 51% to 75%
4 76% to 95%
5 96% or more

14. Does this facility use group counseling as part of its substance abuse treatment program?

- 1 Yes
0 No → SKIP TO Q.15 (PAGE 4)

14a. During the course of treatment, approximately what percent of substance abuse clients receive group counseling?

MARK ONE ONLY

- 1 25% or less
2 26% to 50%
3 51% to 75%
4 76% to 95%
5 96% or more

15. Does this facility use family counseling as part of its substance abuse treatment program?

- 1 Yes
 0 No → SKIP TO Q.16 (TOP OF NEXT COLUMN)

15a. During the course of treatment, approximately what percent of substance abuse clients receive family counseling?

MARK ONE ONLY

- 1 25% or less
 2 26% to 50%
 3 51% to 75%
 4 76% to 95%
 5 96% or more

16. Does this facility use marital/couples counseling as part of its substance abuse treatment program?

- 1 Yes
 0 No → SKIP TO Q.17 (BELOW)

16a. During the course of treatment, approximately what percent of substance abuse clients receive marital/couples counseling?

MARK ONE ONLY

- 1 25% or less
 2 26% to 50%
 3 51% to 75%
 4 76% to 95%
 5 96% or more

GO TO Q.17 (BELOW)

17. Listed below are a variety of clinical/therapeutic approaches used by substance abuse treatment facilities. For each, please mark the box that best describes how often the practice is used at this facility.

	MARK ONE FREQUENCY FOR EACH APPROACH				
	Never	Rarely	Sometimes	Always or Often	Not Familiar With This Approach
1. Substance abuse counseling	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
2. 12-step facilitation	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
3. Brief intervention	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
4. Cognitive-behavioral therapy	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
5. Contingency management/motivational incentives	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
6. Motivational interviewing	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
7. Trauma-related counseling	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
8. Anger management	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
9. Matrix model	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
10. Community reinforcement plus vouchers	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
11. Rational emotive behavioral therapy (REBT)	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
12. Relapse prevention	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
13. Other treatment approach (Specify: _____)	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>

18. Are any of the following practices part of this facility's standard operating procedures?

MARK "YES" OR "NO" FOR EACH

YES NO

- 1. Required continuing education for staff ... 1 0
- 2. Periodic drug testing of clients 1 0
- 3. Regularly scheduled case review with a supervisor..... 1 0
- 4. Case review by an appointed quality review committee 1 0
- 5. Outcome follow-up after discharge 1 0
- 6. Periodic utilization review..... 1 0
- 7. Periodic client satisfaction surveys conducted by the facility..... 1 0

*19. Does this facility, at this location, offer a specialty designed program or group intended exclusively for DUI/DWI or other drunk driver offenders?

- 1 Yes
- 0 No → SKIP TO Q.20 (BELOW)

*19a. Does this facility serve only DUI/DWI clients?

- 1 Yes
- 0 No

*20. Does this facility provide substance abuse treatment services in sign language at this location for the hearing impaired (*for example, American Sign Language, Signed English, or Cued Speech*)?

- Mark "yes" if either a staff counselor or an on-call interpreter provides this service.

- 1 Yes
- 0 No

*21. Does this facility provide substance abuse treatment services in a language other than English at this location?

- 1 Yes
- 0 No → SKIP TO Q.22 (PAGE 6)

21a. At this facility, who provides substance abuse treatment services in a language other than English?

MARK ONE ONLY

- 1 Staff counselor who speaks a language other than English → GO TO Q.21b (BELOW)
- 2 On-call interpreter (*in person or by phone*) brought in when needed → SKIP TO Q.22 (PAGE 6)
- 3 BOTH staff counselor and on-call interpreter → GO TO Q.21b (BELOW)

*21b. In what other languages do staff counselors at this facility provide substance abuse treatment?

MARK ALL THAT APPLY

American Indian or Alaska Native:

- 1 Hopi
- 2 Lakota
- 3 Navajo
- 4 Yupik
- 5 Other American Indian or Alaska Native language

(Specify: _____)

Other Languages:

- 6 Arabic
- 7 Any Chinese language
- 8 Creole
- 9 French
- 10 German
- 11 Hmong
- 12 Italian
- 13 Korean
- 14 Polish
- 15 Portuguese
- 16 Russian
- 17 Spanish
- 18 Tagalog
- 19 Vietnamese
- 20 Any other language (Specify: _____)

_____)

***22. This question has two parts:**

Column A – Please indicate the **types of clients** accepted into treatment at this location.

Column B – For each “yes” in **Column A**: Indicate whether this facility offers a specially designed substance abuse treatment program or group exclusively for that type of client at this location.

TYPE OF CLIENT	Column A		Column B	
	CLIENTS ACCEPTED INTO TREATMENT		SPECIALLY DESIGNED PROGRAM OR GROUP	
	YES	NO	YES	NO
1. Adolescents	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
2. Clients with co-occurring mental and substance abuse disorders	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
3. Criminal justice clients (other than DUI/DWI)	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
4. Persons with HIV or AIDS	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
5. Gays or lesbians	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
6. Seniors or older adults	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
7. Adult women	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
8. Pregnant or postpartum women	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
9. Adult men	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
10. <u>Specially designed</u> programs or groups for any other types of clients			1 <input type="checkbox"/>	0 <input type="checkbox"/>

(Specify below:

 _____)

***23. Does this facility offer HOSPITAL INPATIENT substance abuse services at this location, that is, the location listed on the front cover?**

- 1 Yes
 0 No → SKIP TO Q.24 (BELOW)

***23a. Which of the following HOSPITAL INPATIENT services are offered by this facility?**

MARK “YES” OR “NO” FOR EACH

YES NO

1. Hospital inpatient detoxification, 1 0
 similar to ASAM Levels IV-D and III.7-D. (Medically managed or monitored inpatient detoxification)
2. Hospital inpatient treatment, 1 0
 similar to ASAM Levels IV and III.7. (Medically managed or monitored intensive inpatient treatment)

NOTE: ASAM is the American Society of Addiction Medicine.

***24. Does this facility offer RESIDENTIAL (non-hospital) substance abuse services at this location, that is, the location listed on the front cover?**

- 1 Yes
 0 No → SKIP TO Q.25 (PAGE 7)

***24a. Which of the following RESIDENTIAL services are offered by this facility?**

MARK “YES” OR “NO” FOR EACH

YES NO

1. Residential detoxification, 1 0
 similar to ASAM Level III.2-D. (Clinically managed residential detoxification or social detoxification)
2. Residential short-term treatment, 1 0
 similar to ASAM Level III.5. (Clinically managed high-intensity residential treatment, typically 30 days or less)
3. Residential long-term treatment, 1 0
 similar to ASAM Levels III.3 and III.1. (Clinically managed medium- or low-intensity residential treatment, typically more than 30 days)

***25. Does this facility offer OUTPATIENT substance abuse services at this location, that is, the location listed on the front cover?**

- 1 Yes
- 0 No → SKIP TO Q.26 (BELOW)

***25a. Which of the following OUTPATIENT services are offered by this facility?**

MARK "YES" OR "NO" FOR EACH

- | | <u>YES</u> | <u>NO</u> |
|---|----------------------------|----------------------------|
| 1. Outpatient detoxification,.....
similar to ASAM Levels I-D and II-D.
(Ambulatory detoxification) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 2. Outpatient methadone/
buprenorphine maintenance
(Opioid maintenance therapy) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 3. Outpatient day treatment or
partial hospitalization,.....
similar to ASAM Level II.5.
(20 or more hours per week) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 4. Intensive outpatient treatment,.....
similar to ASAM Level II.1.
(9 or more hours per week) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 5. Regular outpatient treatment,
similar to ASAM Level I.
(Outpatient treatment, non-intensive) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |

***26. Does this facility use a sliding fee scale?**

- 1 Yes
- 0 No → SKIP TO Q.27 (TOP OF NEXT COLUMN)

26a. Do you want the availability of a sliding fee scale published in SAMHSA's Directory/Locator?
(For information on Directory/Locator eligibility, see the inside front cover.)

- The Directory/Locator will explain that sliding fee scales are based on income and other factors.

- 1 Yes
- 0 No

***27. Does this facility offer treatment at no charge to clients who cannot afford to pay?**

- 1 Yes
- 0 No → SKIP TO Q.28 (BELOW)

27a. Do you want the availability of free care for eligible clients published in SAMHSA's Directory/Locator?

- The Directory/Locator will explain that potential clients should call the facility for information on eligibility.

- 1 Yes
- 0 No

28. Does this facility receive any funding or grants from the Federal Government, or state, county or local governments, to support its substance abuse treatment programs?

- Do not include Medicare, Medicaid, or federal military insurance. These forms of client payments are included in Q.29 below.

- 1 Yes
- 0 No
- d Don't Know

***29. Which of the following types of client payments or insurance are accepted by this facility for substance abuse treatment?**

MARK "YES," "NO," OR "DON'T KNOW" FOR EACH

- | | <u>YES</u> | <u>NO</u> | <u>DON'T KNOW</u> |
|---|----------------------------|----------------------------|----------------------------|
| 1. No payment accepted (free treatment for ALL clients) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | d <input type="checkbox"/> |
| 2. Cash or self-payment | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | d <input type="checkbox"/> |
| 3. Medicare..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | d <input type="checkbox"/> |
| 4. Medicaid | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | d <input type="checkbox"/> |
| 5. A state-financed health insurance plan other than Medicaid | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | d <input type="checkbox"/> |
| 6. Federal military insurance such as TRICARE or Champ VA | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | d <input type="checkbox"/> |
| 7. Private health insurance..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | d <input type="checkbox"/> |
| 8. Access To Recovery (ATR) vouchers | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | d <input type="checkbox"/> |
| 9. Other..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | d <input type="checkbox"/> |

(Specify: _____)

SECTION B: REPORTING CLIENT COUNTS

30. Questions 31 through 36 ask about the number of clients in treatment. If possible, report clients for this facility only. However, we realize that is not always possible. Please indicate whether the clients you will report, will be for . . .

MARK ONE ONLY

Only this facility → SKIP TO Q.31
(TOP OF NEXT COLUMN)

This facility plus others

Another facility will report this facility's client counts → SKIP TO Q.37 (PAGE 11)

30a. How many facilities will be included in your client counts? _____

THIS FACILITY	<input style="width: 40px;" type="text" value="1"/>
+ ADDITIONAL FACILITIES	<input style="width: 40px;" type="text"/>
<hr style="border: 1px solid black;"/>	
TOTAL FACILITIES	<input style="width: 40px;" type="text"/>

30b. To avoid double-counting clients, we need to know which facilities are included in your counts. Will you report this information by . . .

MARK ONE ONLY

Attaching a sheet of paper to this questionnaire listing the names and location addresses of these additional facilities

Faxing a list of the names and location addresses of these additional facilities to: 1-609-799-0005
(Please reference "N-SSATS" on your fax)

HOSPITAL INPATIENT CLIENT COUNTS

31. On March 31, 2009, did any patients receive HOSPITAL INPATIENT substance abuse services at this facility?

Yes

No → SKIP TO Q.32 (PAGE 9)

31a. On March 31, 2009, how many patients received the following HOSPITAL INPATIENT substance abuse services at this facility?

- **COUNT** a patient in **one service only**, even if the patient received both services.
- **DO NOT** count family members, friends, or other non-treatment patients.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER "0")

1. Hospital inpatient detoxification, _____
similar to ASAM Levels IV-D and III.7-D. (Medically managed or monitored inpatient detoxification)

2. Hospital inpatient treatment, _____
similar to ASAM Levels IV and III.7. (Medically managed or monitored intensive inpatient treatment)

**HOSPITAL INPATIENT
TOTAL BOX**

31b. How many of the patients from the HOSPITAL INPATIENT TOTAL BOX were under the age of 18?

ENTER A NUMBER
(IF NONE, ENTER "0")

Number under age 18 _____

31c. How many of the patients from the HOSPITAL INPATIENT TOTAL BOX received:

- Include patients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")

1. Methadone dispensed at this facility _____
2. Buprenorphine dispensed or prescribed at this facility _____

31d. On March 31, 2009, how many hospital inpatient beds at this facility were specifically designated for substance abuse treatment?

ENTER A NUMBER (IF NONE, ENTER "0")

Number of beds _____

RESIDENTIAL (NON-HOSPITAL) CLIENT COUNTS

32. On March 31, 2009, did any clients receive RESIDENTIAL (non-hospital) substance abuse services at this facility?

- 1 Yes
 0 No → SKIP TO Q.33 (PAGE 10)

32a. On March 31, 2009, how many clients received the following RESIDENTIAL substance abuse services at this facility?

- COUNT a client in one service only, even if the client received multiple services.
- DO NOT count family members, friends, or other non-treatment clients.

ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")

1. Residential detoxification, similar to ASAM Level III.2-D. (Clinically managed residential detoxification or social detoxification) _____
2. Residential short-term treatment, similar to ASAM Level III.5. (Clinically managed high-intensity residential treatment, typically 30 days or less) _____
3. Residential long-term treatment, similar to ASAM Levels III.3 and III.1. (Clinically managed medium- or low-intensity residential treatment, typically more than 30 days) _____

RESIDENTIAL TOTAL BOX

32b. How many of the clients from the RESIDENTIAL TOTAL BOX were under the age of 18?

ENTER A NUMBER (IF NONE, ENTER "0")

Number under age 18 _____

32c. How many of the clients from the RESIDENTIAL TOTAL BOX received:

- Include clients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")

1. Methadone dispensed at this facility _____
2. Buprenorphine dispensed or prescribed at this facility _____

32d. On March 31, 2009, how many residential beds at this facility were specifically designated for substance abuse treatment?

ENTER A NUMBER (IF NONE, ENTER "0")

Number of beds _____

OUTPATIENT CLIENT COUNTS

33. During the month of March 2009, did any clients receive **OUTPATIENT substance abuse services** at this facility?

- 1 Yes
 0 No → **SKIP TO Q.34 (PAGE 11)**

33a. How many clients received each of the following **OUTPATIENT substance abuse services** at this facility during March 2009?

- ☞ **ONLY INCLUDE** clients who received treatment in March **AND** were still enrolled in treatment on March 31, 2009.
- COUNT** a client in **one service only**, even if the client received multiple services.
- DO NOT** count family members, friends, or other non-treatment clients.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER "0")

- | | |
|--|-------|
| 1. Outpatient detoxification, similar to ASAM Levels I-D and II-D. (<i>Ambulatory detoxification</i>) | _____ |
| 2. Outpatient methadone/buprenorphine maintenance (<i>Opioid maintenance therapy</i>) | _____ |
| 3. Outpatient day treatment or partial hospitalization, similar to ASAM Level II.5. (<i>20 or more hours per week</i>) | _____ |
| 4. Intensive outpatient treatment, similar to ASAM Level II.1. (<i>9 or more hours per week</i>) | _____ |
| 5. Regular outpatient treatment, similar to ASAM Level I. (<i>Outpatient treatment, non-intensive</i>) | _____ |

OUTPATIENT TOTAL BOX

33b. How many of the clients from the **OUTPATIENT TOTAL BOX** were under the age of 18?

ENTER A NUMBER
(IF NONE, ENTER "0")

Number under age 18 _____

33c. How many of the clients from the **OUTPATIENT TOTAL BOX** received:

- *Include clients who received these drugs for detoxification or maintenance purposes.*

ENTER A NUMBER FOR EACH
(IF NONE, ENTER "0")

- | | |
|---|-------|
| 1. Methadone dispensed at this facility | _____ |
| 2. Buprenorphine dispensed or prescribed at this facility | _____ |

33d. **OUTPATIENT CAPACITY.** Without adding to the staff or space available in March 2009, what is the maximum number of clients who could have been enrolled in outpatient substance abuse treatment on March 31, 2009?

OUTPATIENT CAPACITY ON MARCH 31, 2009

Number should **not** be less than the total in the **OUTPATIENT TOTAL BOX**.

34. Some clients are treated for both alcohol and drug abuse, while others are treated for only alcohol or only drug abuse. Approximately what percent of the substance abuse treatment clients enrolled at this facility on March 31, 2009, including hospital inpatient, residential, and/or outpatient, were being treated for . . .

1. BOTH alcohol AND drug abuse _____%
2. ONLY alcohol abuse _____%
3. ONLY drug abuse _____%

TOTAL %



TOTAL SHOULD = 100%. IF NOT PLEASE RECONCILE.

35. Approximately what percent of the substance abuse treatment clients enrolled at this facility on March 31, 2009, had a diagnosed co-occurring mental and substance abuse disorder?

PERCENT OF CLIENTS
(IF NONE, ENTER "0")

%

36. Using the most recent 12-month period for which you have data, approximately how many substance abuse treatment **ADMISSIONS** did this facility have?

- **OUTPATIENT CLIENTS:** *Count admissions into treatment, not individual treatment visits. Consider an admission to be the initiation of a treatment program or course of treatment. Count any re-admission as an admission.*
- **IF THIS IS A MENTAL HEALTH FACILITY:** *Count all admissions in which clients received substance abuse treatment, even if substance abuse was their secondary diagnosis.*

NUMBER OF SUBSTANCE
ABUSE ADMISSIONS IN A
12-MONTH PERIOD

SECTION C: GENERAL INFORMATION

Section C should be completed for this facility only.

*37. Does this facility operate a halfway house or other transitional housing for substance abuse clients at this location, that is, the location listed on the front cover?

- 1 Yes
0 No

38. Does this facility or program have licensing, certification, or accreditation from any of the following organizations?

- Only include facility-level licensing, accreditation, etc., related to the provision of substance abuse services.
- Do not include general business licenses, fire marshal approvals, personal-level credentials, food service licenses, etc.

MARK "YES," "NO," OR "DON'T KNOW" FOR EACH

YES NO DON'T
 KNOW

1. State substance abuse agency 1 0 d
2. State mental health department 1 0 d
3. State department of health 1 0 d
4. Hospital licensing authority 1 0 d
5. Joint Commission (JCAHO) 1 0 d
6. Commission on Accreditation of Rehabilitation Facilities (CARF) 1 0 d
7. National Committee for Quality Assurance (NCQA) 1 0 d
8. Council on Accreditation (COA) 1 0 d
9. Another state or local agency or other organization 1 0 d

(Specify: _____)

39. Does this facility have a National Provider Identifier (NPI) number?

1 Yes

0 No → SKIP TO Q.40 (BELOW)

39a. What is the NPI number for this facility?

NPI

*40. Does this facility have a website or web page with information about the facility's substance abuse treatment programs?

1 Yes →

0 No

Please check the front cover of this questionnaire to confirm that the website address for this facility is correct EXACTLY as listed. If incorrect or missing, enter the correct address.

41. If eligible, does this facility want to be listed in the *National Directory and online Treatment Facility Locator*? (See inside front cover for eligibility information.)

1 Yes

0 No

42. Would you like to receive a free paper copy of the next *National Directory of Drug and Alcohol Abuse Treatment Programs* when it is published?

1 Yes

0 No

43. Who was primarily responsible for completing this form? This information will only be used if we need to contact you about your responses. It will not be published.

Name: _____

Title: _____

Phone Number: (____) _____ - _____

Fax Number: (____) _____ - _____

Email Address: _____

Pledge to respondents

The information you provide will be protected to the fullest extent allowable under the Public Health Service Act, 42 USC Sec 501. This law permits the public release of identifiable information about an establishment only with the consent of that establishment and limits the use of the information to the purposes for which it was supplied. With the explicit consent of eligible treatment facilities, information provided in response to survey questions marked with an asterisk will be published in SAMHSA's *National Directory of Drug and Alcohol Abuse Treatment Programs* and the Substance Abuse Treatment Facility Locator. Responses to non-asterisked questions will be published only in statistical summaries so that individual treatment facilities cannot be identified.

**Thank you for your participation. Please return this questionnaire in the envelope provided.
If you no longer have the envelope, please mail this questionnaire to:**

MATHEMATICA POLICY RESEARCH, INC.
ATTN: RECEIPT CONTROL - Project 8945
P.O. Box 2393
Princeton, NJ 08543-2393

Public burden for this collection of information is estimated to average 40 minutes per response including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer, Room 7-1044, 1 Choke Cherry Road, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB number for this project is 0930-0106.

ANY ADDITIONAL COMMENTS

SAMPLE